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# Advances in scalable gas-phase manufacturing and processing of nanostructured solids: A review

Samir Salameh<sup>a</sup>, Jesús Gómez-Hernández<sup>a,b</sup>, Aristeidis Goulas<sup>a,c</sup>, Hao Van Bui<sup>a</sup>, J. Ruud van Ommen<sup>a,\*</sup>

<sup>a</sup>Delft University of Technology; Julianalaan 136, 2628 BL Delft, The Netherlands

<sup>b</sup>Carlos III University of Madrid; Avda. de la Universidad 30, 28911 Leganés, Madrid, Spain

<sup>c</sup>Delft IMP B.V.; Mekelweg 2, 2628 CD, Delft, The Netherlands

\*Corresponding author. E-mail: J.R.vanOmmen@tudelft.nl

**Abstract:** Although the gas-phase production of nanostructured solids has already been carried out in industry for decades, only in recent years has research interest in this topic begun to increase. Nevertheless, despite the remarkable scientific progress made recently, many long-established processes are still used in industry. Scientific advancements can potentially lead to the improvement of existing industrial processes, but also to the development of completely new routes. This paper aims to review state-of-the-art synthesis and processing technologies, as well as the recent developments academic research. Flame reactors that produce inorganic nanoparticles on industrial- and lab-scales are described, alongside a detailed overview of the different systems used for the production of carbon nanotubes and graphene. We discuss the problems of agglomeration and mixing of nanoparticles, which are strongly related to synthesis and processing. Finally, we focus on two promising processing techniques, namely nanoparticle fluidization and atomic layer deposition.

**Keywords:** Nanoparticle synthesis; Gas-phase process; Nanoparticle fluidization; Atomic layer deposition; Agglomeration

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## 1. Introduction

In recent years, chemistry has focused much attention on large molecules and supramolecular assemblies thereof, while engineering has become able to create increasingly smaller devices. They meet each other naturally at the nanometre scale, in the field known as nanoscience or nanotechnology. Great advances have been made, yielding all kinds of novel, nanostructured materials such as graphene, nanowires, and quantum dots. Such nanostructured materials are of great importance; they have the potential to significantly contribute to solutions to the great challenges faced by society today, e.g. energy conversion and storage. The building blocks of such materials are often nanostructured solids or particles: solid objects with a size  $< 1$   $\mu\text{m}$ , consisting of multiple elements and/or multiple materials, at least one of the elements of which has a nanoscale dimension. These elements can be classified as 0D, 1D or 2D depending on the number of dimensions in which they have a size smaller than a threshold  $d^*$ . This threshold  $d^*$  is defined by a critical characteristic of some physical phenomenon (free path length of electrons, phonons, length of de Broglie wave, length of external electromagnetic or acoustic waves, correlation length, penetration length, diffusion length, etc.) that gives rise to size-effects (Pokropivny & Skorokhod, 2007). However, in this review we will focus on the generic, commonly used value of 100 nm for  $d^*$ .

Nanostructured solids exhibit extraordinary properties compared with those of their bulk counterparts. To give a few examples, nanoparticles have lower melting points and higher solubilities than bulk materials; quantum dots emit specific photons depending on their size; carbon and inorganic nanotubes exhibit high mechanical strength and controllable electric conductivity; graphene has a very high electrical and thermal conductivity. However, to fully exploit these special properties it is crucial that the material has a high level of quality, reflected by demanding specifications. For example, for quantum dots to emit well-defined photons, it is crucial that the standard deviation in their size is less than 5%. This corresponds to a precision of a single consistent atom layer throughout the 1–15-nm range (Pokropivny & Skorokhod, 2007). Such precision can now only be achieved in small-scale ultra-fast processes, using tricks from colloidal chemistry. This generates samples for R&D, but there is no clear route to a scalable commercial

process. In catalyst preparation, synthetic strategies based on molecular principles yield better-defined catalyst structures than traditional and cruder catalyst preparation techniques such as impregnation and deposition/precipitation. This can aid mechanism determination and the development of novel catalytic routes in several classes of reactions (Murray, Kagan, & Bawendi, 2000). Additionally, for consumer products based on nanostructured materials it is of utmost importance that morphology is precisely controlled. For example, the fabrication of transparent electrodes requires high-quality large-area graphene sheets (Wegener, Marks, & Stair, 2012). The strength of ultra-strong nanostructured materials is largely determined by the extent to which the amount of defects present can be minimized (Li et al., 2009a). A high level of control over morphology is thus crucial, both in fundamental studies and in the application of such materials.

Many of the current methods for manufacturing nanostructured materials do not lend themselves to large-scale production, which greatly inhibits innovation and actual use in society instead of in the lab (Roebben et al., 2014; Wegner, Schimmoeller, Thiebaut, Fernandez, & Rao, 2011; Zhu & Li, 2010). Large-scale production with high quality is required to achieve breakthroughs in seemingly unrelated fields, from the nanostructured materials required for personalized medicine without side-effects to those for high-power, fast-charging batteries. The technologies used to manufacture such materials expected to impact global problems related to food, water, energy and the environment must be scalable. “The main reason that these problems are so grand is that they are ubiquitous and therefore the related commercial markets have become commoditized. Very often, a technology that exploits a unique attribute of a nanomaterial can offer improvements in functional or engineering performance, but almost as often, these technologies require scarce materials (and therefore expensive) or slow or complicated manufacturing processes (and also expensive)” (Giges, 2013). The scaling-up of nanotechnology is not just something that remains to be done after all the interesting scientific work has been carried out; it should be an integral part of the scientific process leading to breakthrough solutions. When nanostructured materials are synthesized in such a way that the relevant processes concerning kinetics, thermodynamics and transport phenomena are known and controlled, then the knowledge is intrinsically scale-independent. In other words, rational design facilitates large-scale production. The urgent need to pay more attention to this topic is also reflected by the title of the Kavli Futures Symposium four years ago: “Plenty of Room in the Middle”, paraphrasing Feynman’s famous quote. With this title the organizers implied the need to master mesoscale structures and to bring nanoscientific discoveries to scale, bringing them to devices that can affect our everyday lives. It was concluded that “great opportunities that lie in scaling up from atomic assembly and individual nanodevices to macroscopic systems and structures with emergent properties and functionality” (Kavli Foundation, 2011).

While the majority of researchers currently working on nanostructured materials focus on liquid-phase synthesis, it is our firm belief that gas-phase routes deserve more attention if scalable processes are to be achieved. Currently, most single-material nanoparticles are commercially produced via gas-phase processes (Teoh, 2013). In this review, we discuss gas-phase approaches used to produce, transport and functionalize

nanostructured solids, focusing on methods that have good prospects for scale-up. First, we discuss the gas-phase synthesis of nanoparticles (0D materials), with a strong emphasis on the use of flame reactors because this is the most widely used technique at the industrial scale. We then address the synthesis of carbon nanotubes and graphene as examples of 1D and 2D materials that can already be produced at a relatively large scale. This is followed by a section on the subsequent physical processing of nanoparticles, discussing agglomeration, fluidization and mixing. Finally, we look into structuring at the nanoscale involving multiple materials (e.g., a host nanoparticle with a film or nanoclusters on its surface) using atomic layer deposition. It is certainly not our ambition to discuss all the developments in the field. We rather focus on a number of developments that we see as promising ways to produce nanostructured solids at the industrial scale that are already in use or are expected to find their way to industry in the short term.

## **2. Gas-phase synthesis of nanoparticles**

In the following section we give an overview of gas-phase (aerosol) methods that are used to synthesize nanoparticles (0D materials) and are promising for industrial-scale synthesis. Because up to 90% of the commercially available aerosol-made products are synthesized using methods based on flame reactors (Mueller, Mädler, & Pratsinis, 2003; Wegner & Pratsinis, 2003), this review concentrates on this reactor type, although various gas-phase methods such as hot wall reactors (Weiss, Ly, Wegner, Pratsinis, & Steinfeld, 2005), laser ablation (Ullmann, Friedlander, & Schmidt-Ott, 2002), and plasma reactors (Vollath, 2008) are described in the literature. This chapter is by far too limited to give a comprehensive overview of all the problems and possibilities of flame reactors, but excellent reviews that report on this reactor type and the arising nanoparticles in much more detail are already available (Buesser & Pratsinis, 2012; Eggersdorfer & Pratsinis, 2014; Teoh, Amal, & Mädler, 2010). Herein, we first describe the established industrial processes for the gas-phase synthesis of nanoparticles to depict the state-of-the-art. Then, we discuss flame reactors and their development over the few last decades in detail to reveal the gap between current industrial processes and lab-scale developments. Finally, we give a short overview of spray drying as an additional promising technique for nanoparticle synthesis.

### **2.1. Industrial synthesis of nanoparticles**

One of the oldest nanomaterials produced on an industrial scale is carbon black. Its production is dated back to ancient China, where it was used as a black pigment (Donnet, 1993). Nowadays this material is mainly used as a filler material in tires. Nevertheless, to a small extent carbon black is still used as a pigment as it was thousands of years ago (Donnet, 1993; Friedlander, 2000). The size of carbon black particles can vary between a few and a few hundred nanometres, depending on the conditions of the production process and the desired properties of the product. In contrast to the combustion of coal, which was the main production method used in the past, over 95% of carbon black is nowadays produced by the furnace process (see Fig. 1) (Addison et al., 2013; Donnet, 1993). In total, over 8 million tons of carbon black are produced per year (International Carbon Black Association, 2014).

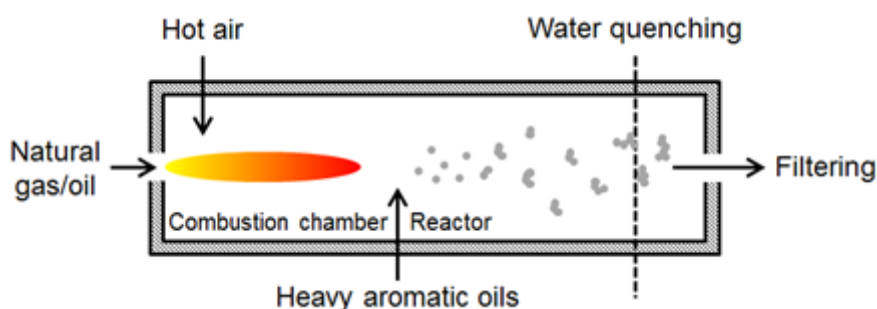


Fig. 1. Schematic representation of the furnace process used to synthesize carbon black on an industrial scale. The atomization and pyrolyzation of heavy aromatic oils lead to the formation of primary particles, which aggregate/agglomerate and can be separated at the end of the process.

In the furnace process, heavy aromatic oils are decomposed to carbon black using a hot gas stream. The exact furnace dimensions and structure can vary between different producers, but the process generally proceeds in the same way. To initiate the chemical reaction, the furnace is first heated by burning natural gas or oil inside a combustion chamber, leading to a temperature of about 1300 °C. The hot combustion gas produced passes into the reactor, where heavy aromatic oils are introduced. The atomized oil is vaporized and pyrolyzed, and particle formation occurs through the processes of nucleation, coalescence and aggregation. The properties of the synthesized particles can be controlled by the temperature and the pressure of the furnace, which is typically between 4 and 10 bars. At the end of the furnace the particles are quenched to about 200 °C using a water spray. Finally, they can be separated using a combination of electric filters, cyclones and bag filters, and further treatments can be performed as desired (Burke, 1933; Donnet, 1993; Friedlander, 2000; Gerhard, Gerhard, Gerhard, & Heinrich, 1972; Larson, 1957; Loving, 1947; Matlock, 1931; Pollock, 1957; Roger, 1969; Stokes, 1951, 1954; Voll, Rothbuhr, & Kuhner, 1984). Because the furnace process has been used for decades, it is now quite well understood. As a result, the discharge of soot can be easily controlled and prevented, and the production costs are very low. However, the process still does not operate at desired efficiency levels, and so its improvement is still ongoing (Davis, Nicholas, Smith, Wang, & Wright, 1991; Rumpf, Taylor, & Toombs, 2010; Schwaiger et al., 2014).

Another important nanomaterial synthesized on an industrial scale is TiO<sub>2</sub>. It is mostly used as a white pigment in paints and coatings (Braun, Baidins, & Marganski, 1992). It is also used in sun crèmes (Singh & Nanda, 2014), as a photocatalyst (Fujishima & Honda, 1972; Gupta & Tripathi, 2011), and in dye-sensitized solar cells (Grätzel, 2003). However, the particle sizes needed for different applications vary strongly. For pigments the ideal particle size is about 200 nm (Knauth, Bouchet, Schaf, Weibel, & Auer, 2003), while for solar cells a particle size of about 25 nm is favourable (Chou, Zhang, Russo, Fryxell, & Cao, 2007). The worldwide production of TiO<sub>2</sub> is 4.5 million tons per year, about 50% that of carbon black (Robichaud, Uyar, Darby, Zucker, & Wiesner, 2009). Two essential processes, the sulfate process and the chloride process, are used to synthesize TiO<sub>2</sub> in industry (Braun et al., 1992; Knauth et al., 2003).

In the sulfate process, mined ore (mostly  $\text{FeTiO}_3$ ) is digested with concentrated sulfuric acid. The resulting liquid contains titanyl sulfate and iron sulfate. The iron sulfate is removed through crystallization. In the next step,  $\text{TiO}_2$  is formed by hydrolysis and heated in a calciner to evaporate water and the remaining sulfuric acid from the solids. Depending on the temperature in the calciner, either the anatase ( $\sim 800^\circ\text{C}$ ) or rutile ( $\sim 900^\circ\text{C}$ ) crystal phase is formed. After cooling, the crystals can be milled to achieve the desired size for each particular application. However, this process creates a large amount of acidic waste and runs as a batch process. Also, the minimum crystal size is limited by the milling process, which restricts the usability of this process to the production of very small primary particle sizes as required, e.g. in catalysis (Braun et al., 1992; Buxbaum, 2008; Gazquez, Bolivar, Garcia-Tenorio, & Vaca, 2014; Knauth et al., 2003).

In the chloride process, commercialized by Du Pont in the early 1950s (Schaumann, 1949a, 1949b), titanium (IV) chloride is formed by the reaction of ore, coke and chlorine at about  $1000^\circ\text{C}$ . The resulting vapour stream is then cooled to purify it from other metal chlorides. Second, the liquid titanium (IV) chloride is vaporized and burned in an oxygen-rich environment at temperatures above  $1500^\circ\text{C}$ . To achieve the high temperatures necessary for the chemical reaction, hydrocarbons (commonly methane) are added to the reaction zone. There,  $\text{TiO}_2$  is formed as fine crystals in the gas stream, and can be filtered with cyclones or filters (Boeer, 1996; Braun et al., 1992; Buxbaum, 2008; Gazquez et al., 2014; Knauth et al., 2003; Lange, Diether, Volling, & Klebe, 1976; Schaumann, 1949a, 1949b; Tully & Olsen, 1954). However, this process requires high-purity ore and can only produce rutile pigments. Nevertheless, it has advantages in process cost and waste management compared with the sulfate process, and is therefore the most widely used industrial process (Gazquez et al., 2014).

For the manufacture of very fine oxide nanoparticles, an adapted version of the chloride process was patented in 1952 (Kloepfer, 1952). The process is similar to the manufacturing of industrial carbon black, as is obvious by comparing Fig. 1 and Fig. 2. Gases such as hydrogen are burned to create a hot flame and are mixed with preheated oxygen. Then,  $\text{TiCl}_4$  (or a different precursor in the case of different oxide particles such as  $\text{SiO}_2$ ) is vaporized and introduced into the reaction chamber, in which particles form through nucleation, coagulation and aggregation. To prevent further particle growth, the reaction time is limited to under 1 s using the gas stream and by quenching the hot particles at the end of the reaction chamber using an inert gas stream (Beumer, Brandle, Weidmann, & Zirngibl, 1969; Kloepfer, 1952; Macwan, Dave, & Chaturvedi, 2011). The above-described processes are under continuous improvement. A major challenge in the synthesis of both very fine and pigment powders is the pollution of the reactor walls with particles, which can strongly influence the properties of the product (Gruber & Malcharek, 2011; Rick, 1955; Yuill, Natalie, Flynn, & Fillipi, 2002). Another focus of new reactors is the production of mixed oxide powders such as  $\text{TiO}_2/\text{ZnO}$ ,  $\text{TiO}_2/\text{MgO}$ ,  $\text{TiO}_2/\text{Al}_2\text{O}_3$ , or  $\text{TiO}_2/\text{Fe}_2\text{O}_3$  (Hemme, Mangold, Geissen, & Moiseev, 2003; Habermann, Hasenzahl, & Hemme, 2011; Hartmann & Kerner, 2002; Hartmann, Mangold, & Kerner, 1997, 1998; Kleinschmit & Schwarz, 1981; Mangold, Golchert, Katusic, & Janzon, 2001; Schumacher, Schilling, Alff, & Roth, 2006), which can be used in applications such as coatings (Petersen, Sale, & Reardon, 2007) or catalysts (Wachs, 2005).

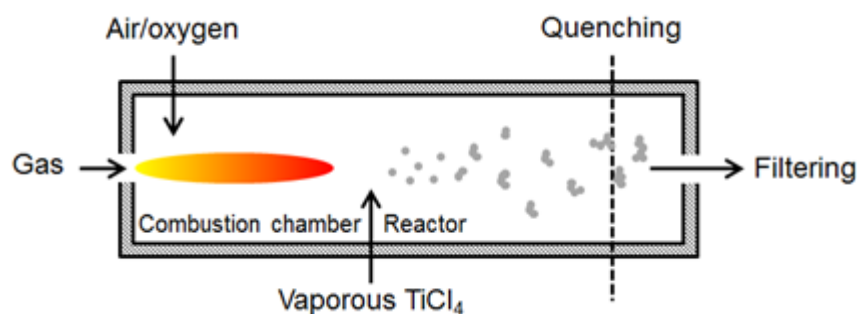


Fig. 2. Schematic representation of the synthesis of fine oxide nanoparticles by a vapour-assisted flame process.

## 2.2. Flame reactors

As described in the previous section, flame reactors are often used in industry to produce various types of nanoparticles. In general, the advantages of flame reactors are high purity, nonporous primary particles and small sizes with relatively narrow size distributions, while the disadvantages include difficulties in producing unagglomerated particles and multicomponent materials (Mädler, Kammler, Mueller, & Pratsinis, 2002a; Mädler, Stark, & Pratsinis, 2002b; Strobel, Baiker, & Pratsinis, 2006). Flame reactors can be classified into several types. Flame aerosol reactors are based on the use of gaseous precursors and the resulting gas-to-particle conversion. In these reactors the precursor is vaporized and burned in an air or oxygen environment. The heat needed for this reaction is achieved by burning natural gases (mostly methane) or oil as described in the previous section for carbon black and titania. A new generation of flame reactors, flame spray pyrolysis (FSP) reactors, have been intensively investigated during the last two decades (Baranwal, Villar, Garcia, & Laine, 2001; Bickmore et al., 1998; Bickmore, Waldner, Treadwell, & Laine, 1996; Chiarello, Selli, & Forni, 2008; Height, Mädler, Pratsinis, & Krumeich, 2006; Hembram, Sivaprakasam, Rao, & Wegner, 2013; Mädler et al., 2002a, 2006; Mädler & Pratsinis, 2002; Marchal, John, Baranwal, Hinklin, & Laine, 2004; Pawinrat, Mekasuwandumrong, & Panpranot, 2009; Pokhrel, Birkenstock, Schowalter, Rosenauer, & Mädler, 2010; Strobel et al., 2006; Strobel & Pratsinis, 2009; Teoh et al., 2010; Teoh, Amal, Mädler, & Pratsinis, 2007; Tian et al., 2009; Tok, Boey, & Zhao, 2006). In this reactor type, a liquid precursor is dispersed and the resulting droplets are burned. The heat necessary for the synthesis of the nanoparticles is directly provided from the combustion of the liquid precursor. The advantages of these reactors are that they are relatively simple, use cheap precursors, can produce multiple component systems (see Fig. 3) and have a high-temperature gradient (Kammler, Mädler, & Pratsinis, 2001; Mädler et al., 2002b; Teoh et al., 2010).



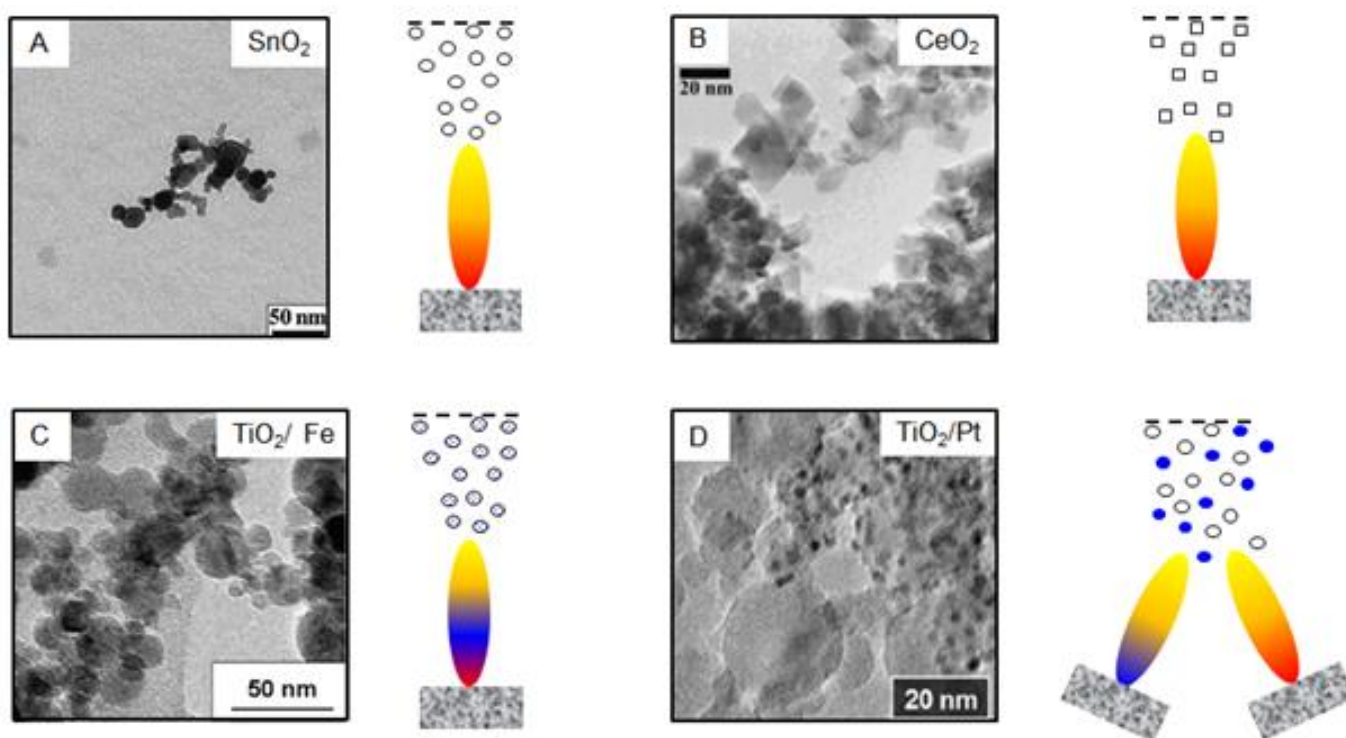


Fig. 3. Schematic representation of the flame spray pyrolysis (FSP) reactor producing spherical (A) or cubic (B) nanoparticles, or synthesizing multicomponent nanomaterials by doping (C) or using multiple flames (D). (All TEM images are reprinted with permission from the respective references: (A) Elsevier (Sahm et al., 2004), (B) Material Research Society (Mädler et al., 2002b), (C) Elsevier (Teoh et al., 2007), and (D) Springer Science and Business Media (Grossmann et al., 2015).)

In FSP reactors, the size of the synthesized nanoparticles depends on the temperature and the residence time of the particles in the flame. Both parameters can easily be controlled via the ratio of the precursor flow rate to the oxygen dispersion flow rate (Mädler et al., 2002a, 2002b). This gives a high flexibility for the adjustment of primary particle sizes during the FSP process. Furthermore, multicomponent systems can be easily synthesized by doping the basic material (Mädler et al., 2006; Pawinrat et al., 2009; Teoh et al., 2007; Tian et al., 2009) or using a multiple flame set-up (see Fig. 3C and D) (Grossmann et al., 2015; Minnermann et al., 2013). The reported advantages have recently motivated the first companies to implement the FSP reactor to synthesize nanopowders on an industrial scale (Hembram et al., 2013; Mueller et al., 2003). However, the FSP process is not completely understood and research is ongoing to understand coalescence and coagulation as the dominant mechanism to predict and control the aggregation of the particles (Buesser & Pratsinis, 2012; Eggersdorfer & Pratsinis, 2014) and the burning of droplets in the flame (Noriler, Rosebrock, Mädler, Meier, & Fritsching, 2014; Rosebrock, Riefler, Wriedt, Mädler, & Tse, 2013).

### 2.3. Spray drying

Another established process used to synthesize particles by dispersing a liquid precursor is spray drying (Fogler & Kleninschmidt, 1938; Gharsallaoui, Roudaut, Chambin, Voilley, & Saurel, 2007). This process is not yet used to synthesize nanoparticles on an industrial scale, but recent developments have revealed the scale-up potential of this technique. In spray drying, the liquid is dispersed into droplets with an atomizer or spray nozzle. Unlike in flame reactors, the droplets are evaporated under mild heating conditions in a hot gas stream, leading to the formation of particles (see Fig. 4). Because the temperatures used are much lower (typically 40–300 °C) than those in flame reactors, spray drying is widely used for the synthesis of temperature-sensitive powders, e.g. in food or pharmaceutical applications (Gharsallaoui et al., 2007; Tsapis, Bennett, Jackson, Weitz, & Edwards, 2002; Vehring, 2008; Vehring, Foss, & Lechuga-Ballesteros, 2007). The size of the particles depends strongly on the size of the dispersed droplets and therefore on the type of atomizer. Spray drying is usually used to synthesize micron-sized particles. However, new systems are also able to produce particles down to the nanoscale, as reviewed by Nandiyanto and Okuyama (2011). The decrease in particle size is achieved by very fine dispersion of the liquid using assisting methods such as pressure or centrifugal, electrostatic or ultrasonic forces (Nandiyanto & Okuyama, 2011). Kaluza and Muhler (2009) used spray drying with a two-fluid nozzle to synthesize nanosized  $\text{Al}_2\text{O}_3$ ,  $\text{ZnO}$  and a composite of both materials. Additionally, Mei et al. (2011) reported the synthesis of Nb-doped  $\text{TiO}_2$  using a two-fluid nozzle.

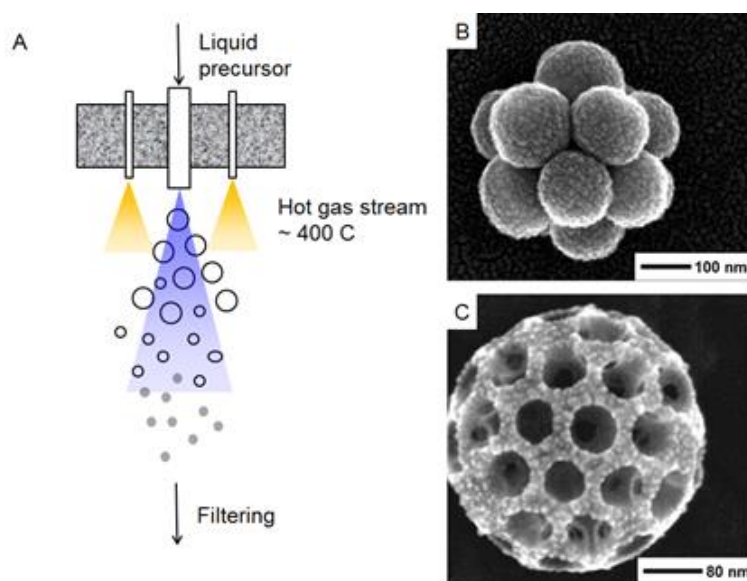


Fig. 4. (A) Schematic of the spray-drying process showing mild drying of the dispersed droplets. Depending on the droplet size and chemistry used, different particle shapes and sizes (down to the nanoscale) can be achieved. (B and C are reprinted with the permission of Elsevier (Nandiyanto & Okuyama, 2011).)

In a conventional spray-dryer, the concentration of the precursor in each droplet has to be very low to synthesize particles of tens to hundreds of nanometres in size. This is a large drawback for industrial-scale production. Therefore, new enhancements of the traditional spray drying process have been developed. The

most promising approaches are the rapid-solvent evaporation technique and the additive-assisted spray method (Mei et al., 2011; Nandiyanto & Okuyama, 2011). A unique property of spray drying is that particles with complex shapes can be synthesized (Fig. 4B and C) by tuning the precursor and drying conditions. The resulting diversely shaped particles can be hundreds of nanometres to microns in size. Their high porosity and therefore large accessible surface area makes them interesting for various applications and easy to scale up (Ariga, Vinu, Yamauchi, Ji, & Hill, 2012; Nandiyanto & Okuyama, 2011; Sathe, Agrawal, & Nie, 2006; Tsapis et al., 2002).

### **3. Gas-phase synthesis of carbon nanotubes and graphene**

While nanoparticles are already widely produced in industry, the industrial manufacturing of 1D and 2D materials is still very limited; only carbon nanotubes and graphene are produced on relatively large scales. In the context of this review, we discuss the recent achievements in the large-scale gas-phase synthesis of CNTs and graphene from the viewpoint of powder technology (i.e., 1D (CNTs) and 2D (graphene) powders). Most attention will be given to carbon nanotube (CNT) synthesis. While commercial applications of graphene are still in their infancy, CNT powders have been applied in several commercial products (De Volder, Tawfick, Baughman, & Hart, 2013) since the hallmark paper by Iijima (1991).

#### **3.1. Synthesis of CNTs by arc-discharge**

In 1991, Iijima reported the synthesis of multi-walled CNTs (MWCNTs) by arc-discharge, which created enormous attention for these structures. Later, it was noted that CNTs had already been observed much earlier (Oberlin, Endo, & Koyama, 1976; Radushkevich & Lukyanovich, 1952), but had remained largely unnoticed. Single-walled CNTs (SWCNTs) synthesized by arc-discharge were reported by Iijima and Ichihashi (1993) and Bethune et al. (1993). Based on experimental observations, various models have been developed to explain the growth mechanism of CNTs in arc-discharge (Ajayan & Ebbesen, 1997; Amelinckx, Bernaerts, Zhang, Van Tendeloo, & Van Landuyt, 1995; Colbert et al., 1994; de Heer, et al., 2005; Gamaly & Ebbesen, 1995; Gavillet et al., 2001; Iijima, Ajayan, & Ichihashi, 1992; Kukovitsky, L'vov, & Sainov, 2000). For example, a vapor-solid phase mechanism for CNT formation in arc-discharge was proposed by Colbert et al. (1994) and Gamaly and Ebbesen (1995). A vapor-liquid-solid model was developed by Kukovitsky et al. (2000) and Gavillet et al. (2001), while a liquid-phase growth mechanism was proposed by de Heer et al. (2005). However, each of these models only explains the growth of CNTs under certain experimental conditions, and does not provide a general mechanism (Ajayan & Ebbesen, 1997).

The properties of CNTs synthesized by arc-discharge are strongly dependent on experimental conditions such as power supply and temperature, carbon sources, catalyst type and properties, pressure, and electrode size and shape (Arora & Sharma, 2014; Keidar, 2007). In arc-discharge, a high temperature is required for the ionization of the gas to form plasma. This is controlled by the current density between the

two electrodes and the thermal properties of the reaction atmosphere. For example, hydrogen plasma can generate temperatures in the range of 3300–3500 °C, whereas argon plasma can only reach 1900–2100 °C (Arora & Sharma, 2014). The temperature variation significantly influences the quality and size of the CNTs (Kim, Sugime, Hasegawa, Osawa, & Noda, 2012; Song, Liu, & Zhu, 2007; Zhao & Liu, 2004; Zhao, Liu, & Zhu, 2005). However, there is no clear trend in the influence of temperature on CNT size. An increase in temperature can increase CNT diameter (Zhao & Liu, 2004; Zhao et al., 2005) but can also reduce CNT diameter (Song et al., 2007). The use of a catalyst plays a crucial role in controlling the quality, size and properties of the synthesized CNTs. Without a catalyst, mostly MWCNTs are formed (Arora & Sharma, 2014; Kim, Muramatsu, Hayashi, & Endo, 2012; Liang, Shimizu, Tanaka, Choi, & Watanabe, 2012). For the synthesis of SWCNTs, transition metals are commonly used, such as Fe (Ando, Zhao, Inoue, Suzuki, & Kadoya, 2005; Ha, Yeom, & Lee, 2009; Qiu, Wang, Zhao, & Wang, 2007), Ni (Sano, Nakano, & Kanki, 2004), Co (Bethune et al., 1993), or their alloys (Hoa, Van Quy, Cho, & Kim, 2009; Hou et al., 2001; Itkis et al., 2004).

Owing to its high growth temperature, arc-discharge produces high-quality and highly crystalline SWCNTs, which show excellent performance in electronic device applications (Biswas & Lee, 2011). However, a major disadvantage of arc-discharge is its low yield of pure CNTs owing to the difficulties in controlling the experimental conditions. New approaches have been developed in the last two decades to improve the quality, size and yield of CNTs, including modification of the arc-discharge apparatus by adding more anodes (Zhao & Liu, 2004) or using a rotating carbon cathode (Joshi, Engstler, Nair, Haridoss, & Schneider, 2008; Lee, Baik, Yoo, & Han, 2002), using pulsed arc-discharge (Roch, Jost, Schultrich, & Beyer, 2007; Roch et al., 2009), using novel catalysts (Journet et al., 1997; Lv, Du, Ma, Wu, & Chen, 2005; Shi et al., 2000; Sun, Bao, Lv, Deng, & Wang, 2007), working at low pressure (Su, Zhou, Zhao, Yang, & Zhang, 2013) or using different gases and carbon sources (Shimotani, Anazawa, Watanabe, & Shimizu, 2014; Zhao et al., 2006). Nevertheless, arc-discharge is not considered to be a suitable method for the large-scale synthesis of CNTs.

### **3.2. Synthesis of CNTs by laser ablation**

The synthesis of CNTs by laser ablation was first reported in 1995 by Guo, Nikolaev, Thess, Colbert, and Smalley (1995), in which a metal-graphite composite target was sublimed under high temperature generated by a YAG laser source. The vaporized carbon was cooled rapidly with an argon flow and SWCNTs were deposited on a water-cooled copper collector positioned downstream of the flow. The SWCNTs produced by this method were shown to be of better quality and higher yield (Guo et al., 1995; Thess et al., 1996) than those produced by arc-discharge. The properties of CNTs prepared by laser ablation are strongly influenced by the laser source, such as the type of laser, Nd:YAG or CO<sub>2</sub> (Maser et al., 1998; Yudasaka et al., 1999a), KrF (Lebel, Aissa, Khakani, & Therriault, 2010), XeCl (Kusaba & Tsunawaki, 2006), Tm:Ho:LuLF (Stramel, Gupta, Lee, Yu, & Edwards, 2010), the laser wavelength (Chrzanowska et al., 2015), the laser power (Yudasaka, Ichihashi, & Iijima, 1998; Zhang, Gu, & Iijima, 1998), the structural

and chemical composition of the target (Bandow et al., 1998; Maser et al., 1998; Yudasaka et al., 1999b), the chamber temperature (Bandow et al., 1998; Hinkov et al., 2004; Kokai et al., 1999; Yudasaka et al., 1998), the chamber pressure and ambient gases (Munoz, Maser, Benito, de la Fuente, & Martinez, 1999; Nishide et al., 2003; Zhang et al., 1998), and the carrier gas flow rate and pressure (Gorbunov et al., 1999). The fundamental aspects of CNT formation by laser ablation are the same as those in the arc-discharge method. Therefore, laser ablation is also commonly used to produce high-quality CNTs (Guo et al., 1995). However, compared with the arc-discharge and CVD methods, laser ablation is more laborious and expensive, and therefore not suitable for large-scale production.

### **3.3. Synthesis of CNTs by chemical vapour deposition**

CVD methods are more attractive for large-scale manufacturing than the other techniques owing to their efficiency of CNT production and controllable growth (De Volder et al., 2013; Kong, Cassell, & Dai, 1998; Yan, Miao, et al., 2015; Zhang, Huang, Zhao, Qian, & Wei, 2011). The CVD of MWCNTs was first realized by Endo et al. (1993), and was further developed to enable the production of SWCNTs (Dai et al., 1996). The principle of CNT CVD is based on the decomposition of carbon precursors at high temperature in the presence of transition metal catalysts (Dai et al., 1996). Two main growth mechanisms of CNTs by CVD methods, determined by the catalyst-support interaction, have been widely accepted (Fig. 5, Kumar & Ando, 2010). When the catalyst-support interaction is weak, carbon precursors decompose on the catalyst surface, and the carbon atoms diffuse through the metal catalyst. In this case, CNTs are formed from the bottom of the metal, lifting the particle up (step i, Fig. 5(a)). This is known as the “tip-growth model”, which was first observed by (Baker, Barber, Harris, Feates, & Waite, 1972). In this model, the growth of the CNTs will continue as long as hydrocarbon decomposition and diffusion are still possible (step ii, Fig. 5(a)). When the concentration of carbon on top of the catalyst reaches saturation, growth will stop (step iii, Fig. 5(a)). In contrast, when the catalyst-support interaction is strong, the diffused carbon atoms are not able to push the metal particle up. Instead, they emerge from the top of the metal surface (Fig. 5(b)). In this case, the so-called “base-growth model” occurs (Baker & Waite, 1975).

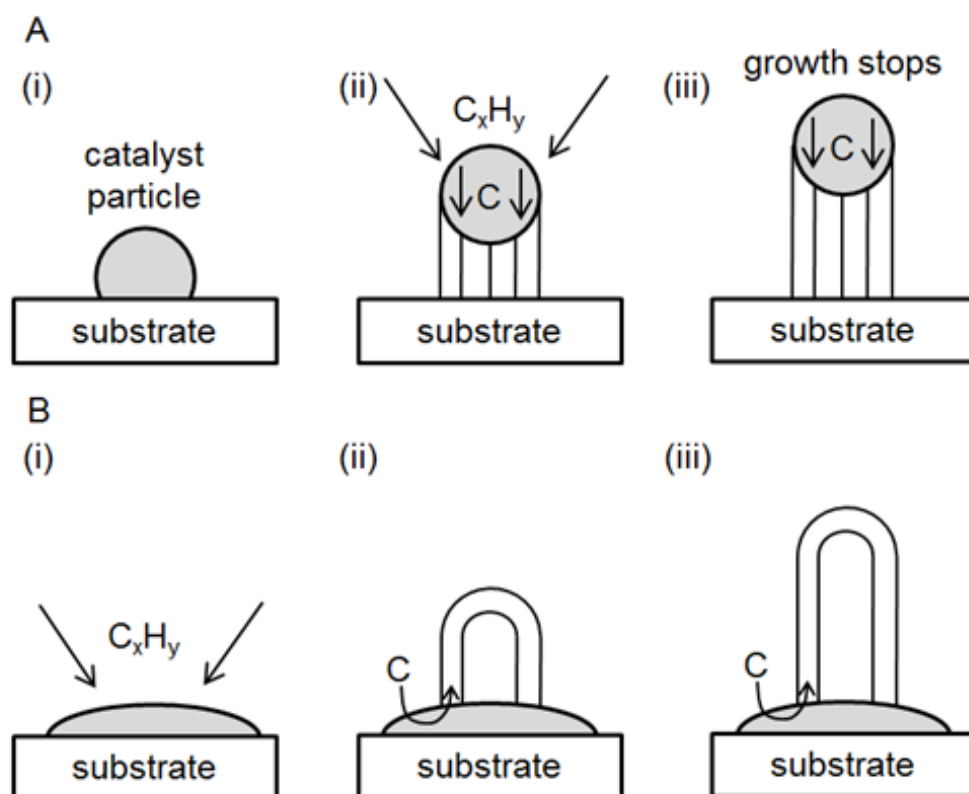


Fig. 5. Growth mechanism of CNTs: (A) tip-growth caused by weak catalyst-support interactions and (B) base-growth mechanism for strong catalyst-support interactions. (schematic redrawn based on Kumar and Ando (2010))

The properties, quality and yield of CNTs synthesized by CVD are strongly affected by the carbon precursor, temperature and catalyst ( Yan, Miao, et al., 2015). In fact, the temperature of the CNT-CVD process is determined by the decomposition temperature of the precursor used. Methane ( $CH_4$ ) has a high thermal stability, and CVD processes using this precursor are usually carried out above 800 °C (Abdullahi, Sakulchaicharoen, & Herrera, 2014; Cassell, Raymakers, Kong, & Dai, 1999; Kong et al., 1998; Li et al., 2004; Ning et al., 2006; Li, Yan, Cheng, Zhang, & Liu, 2002). This is similar to carbon monoxide-based CVD, which requires a very high temperature, i.e. up to 1200 °C (Dai et al., 1996). In contrast, CVD processes using ethylene ( $C_2H_4$ ), acetylene ( $C_2H_2$ ) and benzene ( $C_6H_6$ ) are commonly performed at lower temperatures (i.e. 500–800 °C) owing to their lower stability, which leads to the deposition of large amounts of carbonaceous compounds rather than nanotubes at high temperatures ( Chen, Liu, Yang, Wang, & Wang, 2008; Corrias et al., 2003; Endo et al., 1993; Escobar et al., 2007; Kim, Sugime, et al., 2012; Kumar & Ando, 2010; Mukhopadhyay et al., 1999; Qian et al., 2003; Sen, Govindaraj, & Rao, 1997; Tian et al., 2004; Wang, Wei, Luo, Yu, & Gu, 2002a; Zhang et al., 2009; Zhong et al., 2009). As a consequence of high-temperature processing, CVD using methane or carbon monoxide usually produces SWCNTs, whereas MWCNTs are formed in low-temperature processes. However, in some cases SWCNTs can also be grown at low temperatures ( Kim, Sugime, et al., 2012; Zhong et al., 2009). In 2002, Maruyama,

Kojima, Miyauchi, Chiashi, and Kohno (2002) demonstrated that high-quality SWCNTs can be synthesized at a relatively low temperature (700 °C) using alcohol (ethanol, methanol). Since then, alcohol has been widely used as a carbon precursor for the CVD of CNTs (Fukuoka, Mizutani, Naritsuka, Maruyama, & Iijima, 2012; Grüneis et al., 2006; Kozawa et al., 2016; Maruyama et al., 2016; Maruyama, Mizutani, Naritsuka, & Iijima, 2011; Nasibulin, Moisala, Jiang, & Kauppinen, 2006; Okubo et al., 2004). The advantages of using ethanol for SWCNT synthesis rely on the role of decomposed OH radicals on the catalyst surface in the removal of amorphous carbon, which initiates the formation of MWCNTs. Hence, OH radicals prevent the generation of side-products and hinder the formation of MWCNTs (Maruyama et al., 2002).

Catalysts play a major role in determining the size of CNTs synthesized by CVD. Fe, Ni, and Co are most popularly used owing to the high solubility and high diffusion rate of carbon atoms in these metals. Additionally, their low equilibrium vapor pressure and high melting point enable a wide temperature range to be used in the CVD process (Yan, Miao, et al., 2015). SWCNTs with small diameters are formed on catalyst particles containing a small number of atoms. With increasing particle size, SWCNTs with larger diameters are formed. Further increases in particle size (to a few tens of nm wide) result in the formation of MWCNTs (Kumar & Ando, 2010; Sinnott et al., 1999). A summary of popular carbon precursors, temperature ranges and catalysts used in CNT-CVD processes is presented in Table 1.

Table 1 Popular carbon precursors, temperature ranges and catalysts used in CNT-CVD

Carbon source	Temperature	Catalyst	Support	CNT products	Reference
CH <sub>4</sub>	1200 °C	Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub> SiO <sub>2</sub>	SWCNTs	(Kong et al., 1998)
CH <sub>4</sub>	850–900 °C	Fe	MgO	SWCNTs	(Abdullahi et al., 2014)
CH <sub>4</sub>	900 °C	Fe	MgO	SWCNTs	(Ning et al., 2006)
CH <sub>4</sub>	850 °C	Fe	MgO	SWCNTs	(Li et al., 2002)
CH <sub>4</sub>	900 °C	FeMo	SiO <sub>2</sub> –Al <sub>2</sub> O <sub>3</sub>	SWNTs	(Cassell et al., 1999)
CH <sub>4</sub>	760 °C	Ni(NO <sub>3</sub> ) <sub>2</sub>	SiO <sub>2</sub>	SWNTs	(Li et al., 2004)
CH <sub>4</sub>	550–850 °C	Co Ni	Al <sub>2</sub> O <sub>3</sub> Al <sub>2</sub> O <sub>3</sub>	MWCNTs	(Qian et al., 2004)
C <sub>2</sub> H <sub>4</sub>	615–705 °C	Co	La <sub>2</sub> O <sub>3</sub>	MWCNTs	(Chen et al., 2008)
C <sub>2</sub> H <sub>4</sub>	500–700 °C	Fe	Al <sub>2</sub> O <sub>3</sub>	MWCNTs	(Wang et al., 2002a)
C <sub>2</sub> H <sub>4</sub>	550 °C	Fe	Al <sub>2</sub> O <sub>3</sub>	MWCNTs	(Qian et al., 2003)
C <sub>2</sub> H <sub>4</sub>	650 °C	Fe	Al <sub>2</sub> O <sub>3</sub>	MWCNTs	(Corrias et al., 2003)
C <sub>2</sub> H <sub>4</sub>	650 °C	FeMo	Vermiculite	MWCNTs	(Zhang et al., 2009)
C <sub>2</sub> H <sub>2</sub>	700 °C	Co Fe CoFe	CaO MgO Ca(OH) <sub>2</sub> Mg(OH) <sub>2</sub> CaCO <sub>3</sub> MgCO <sub>3</sub>	MWCNTs	(Kathyayini et al., 2004)
C <sub>2</sub> H <sub>2</sub>	720 °C	FeCo	CaCO <sub>3</sub>	MWCNTs	(Couteau et al., 2003)
C <sub>2</sub> H <sub>2</sub>	600–700 °C	Co–Fe Co–V Co–Ni Co–Pt Co–Y Co–Cu Co–Sn	Zeolite	MWCNTs	(Mukhopadhyay et al., 1999)

C <sub>2</sub> H <sub>2</sub>	800 °C	Fe Ni Co	Al <sub>2</sub> O <sub>3</sub>	MWCNTs	(Weidenkaff et al., 2002)
C <sub>2</sub> H <sub>2</sub>	750 °C	Fe	SiO <sub>2</sub>	MWCNTs	(Venegoni et al., 2002)
C <sub>2</sub> H <sub>2</sub>	600 °C	Fe	SiO <sub>2</sub>	MWCNTs	(Escobar et al., 2007)
C <sub>2</sub> H <sub>2</sub>	650–700 °C	Fe	Al <sub>2</sub> O <sub>3</sub>	SWCNTs	(Zhong et al., 2009)
C <sub>2</sub> H <sub>2</sub>	820 °C	Fe/Al <sub>2</sub> O <sub>x</sub>	Al <sub>2</sub> O <sub>3</sub>	SWCNTs	(Kim, Sugime, et al., 2012)
CO	1200 °C			SWCNTs	(Dai et al., 1996)
Benzene	1000 °C	N/A	C	MWCNTs	(Endo et al., 1993)
Benzene	900 °C	Fe, Ni, Co		MWCNTs	(Sen et al., 1997)
Benzene	650 °C	Fe–Co	Al <sub>2</sub> O <sub>3</sub>	MWCNTs	(Tian et al., 2004)
Xylene	800 °C	Fe	SiO <sub>2</sub>	MWCNTs	(Wei, Vajtai, et al., 2002)
Xylene/C <sub>2</sub> H <sub>2</sub>	800 °C	Fe	Quartz	MWCNTs	(Li et al., 2010)
CH <sub>3</sub> OH/ C <sub>2</sub> H <sub>5</sub> OH	700–800 °C	Fe–Co	Zeolite	SWCNTs	(Maruyama et al., 2002)
C <sub>2</sub> H <sub>5</sub> OH	600 °C	Rh	SiO <sub>2</sub>	SWCNTs	(Kozawa et al., 2016)
C <sub>2</sub> H <sub>5</sub> OH	850 °C	Fe–Co	Zeolite	SWCNTs	(Okubo et al., 2004)
C <sub>2</sub> H <sub>5</sub> OH	300–700 °C	Pt	SiO <sub>2</sub>	SWCNTs	(Maruyama et al., 2016)



The simplest system for CNT synthesis via CVD is a horizontal furnace, as shown in Fig. 6. However, this system is inefficient for large-scale production owing to the limited diffusion of the carbon precursors into the support powders (Kathyayini et al., 2004; Zeng, Sun, Cheng, Yan, & Xu, 2002). Kathyayini et al. (2004) have shown that the amount of CNTs produced using 1 g of catalyst was almost the same as the amount obtained using 0.5 g of catalyst placed in the same quartz boat (Kathyayini et al., 2004). With the same amount of catalyst, the yield of CNTs was increased by over three times when two quartz boats were used instead of a single boat (Zeng et al., 2002). This indicates that larger contact areas produce higher yields. For this reason, fluidized-bed reactors have been widely used for the mass production of CNTs.

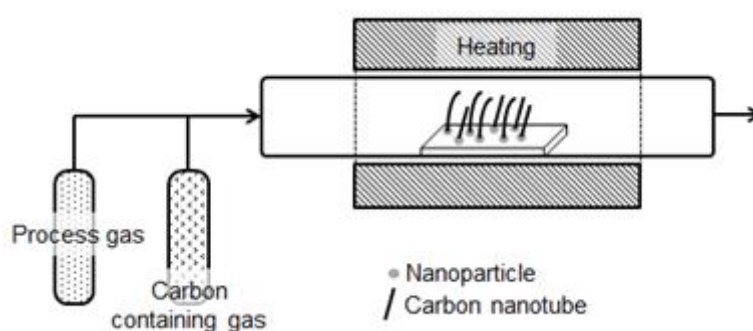


Fig. 6. Schematic representation of a horizontal furnace CVD apparatus. Carbon nanotubes grow on catalyst particles such as Fe, Ni, and Co. The drawback of this system is the limitation of the diffusion of the carbon precursor.

Fluidized-bed CVD (FBCVD) is performed with a bed of particles fluidized by a continuous upward gas flow (Fig. 7). FBCVD is one of the most popular techniques for the mass production of CNTs because of its advantages in terms of providing a sufficient surface area of supporting catalysts for CNT growth, excellent mass and heat transfer, easy up-scaling and continuous operation (Chen, Kim, Hasegawa, Osawa, & Noda, 2014; Kim, Sugime, Hasegawa, Osawa, & Noda, 2011, 2012; Philippe et al., 2007; See & Harris, 2007; Zhang, Zhao, Huang, Nie, & Wei, 2010). The mixing of the support powder caused by the fluidization allows for excellent exposure of the catalyst particles to the carbon precursor. This results in a more homogeneous growth of CNTs on the catalyst surface and provides a higher yield compared with those of fixed-bed reactors. Additionally, uniform temperature distribution can be achieved in fluidized-bed reactors, which is crucial for CNT quality control (Morancais et al., 2007). However, homogeneous fluidization can only be obtained for micron-sized particles. The high surface area and high mobility of nanoparticles result in their cohesion and agglomeration (see Chapter 4). To enhance the fluidization of nanoparticles, external forces such as vibration, ultrasonic or acoustic waves, and direct stirring have been used. However, these techniques have not been used for CNT synthesis so far (Yan, Miao, et al., 2015).

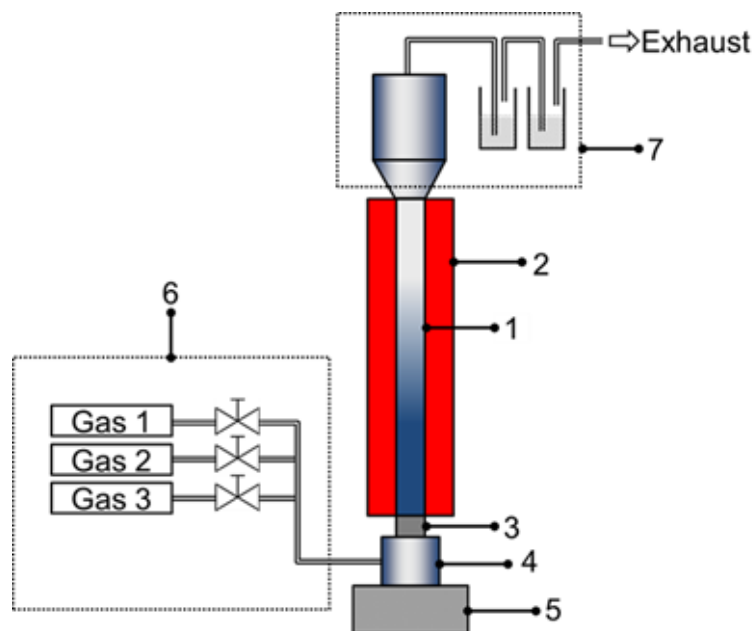


Fig. 7. Schematic drawing of fluidized-bed reactor showing the main components: (1) reactor, (2) heating system, (3) gas distributor, (4) wind box, (5) reactor support, (6) gas inlet system, (7) by-product gas treatment system. In the fluidized-bed reactor, the catalysts are fluidized by an upward flow of carrier and reactant gases.

Recently, many other CVD alternatives have also been developed. Plasma-enhanced CVD is used to increase the efficiency of CNT synthesis (Mao & Bogaerts, 2010; Wang & Moore, 2012). However, this method is usually applied to flat substrates and causes damage to the CNTs owing to the use of plasma (Chiu, Yoshimura, & Ueda, 2008; Mao & Bogaerts, 2010). Laser-assisted CVD is usually employed to synthesize high-purity CNTs (Akahane et al., 2014; Bondi, Lackey, Johnson, Wang, & Wang, 2006). Aerosol-assisted CVD combines spray pyrolysis and thermal CVD, in which the catalytic and carbon precursors are injected simultaneously into the reactor (Castro, Pinault, Porterat, Reynaud, & Mayne-L'Hermite, 2013; Meysami, Koós, Dillon, Dutta, & Grobert, 2015). This allows for the continuous formation of high-purity CNTs. However, owing to the high reaction rate and continuous change of catalyst size and shape, it is difficult to control the size and length of the synthesized CNTs, as well as their agglomeration (Yan, Miao, et al., 2015).

### 3.4. Flame synthesis of CNTs

Besides the three popularly used methods, a flame pyrolysis technique has also been developed for synthesizing CNTs (Height, Howard, Tester, & Vander Sande, 2004; Liu, Sun, & Ding, 2011; Liu, Zheng, Huang, & Sun, 2011; Merchan-Merchan, Saveliev, & Kennedy, 2004; Van der Wal, Hall, & Berger, 2002; Van der Wal & Ticich, 2001). In this method, a carbon precursor such as methane, ethylene or acetylene is reacted with an oxidizer (typically  $O_2$  from air) in a flame to produce a complex gaseous mixture that includes carbon dioxide, water vapour, carbon monoxide, hydrogen, other hydrocarbons ( $C_2H_2$ ,  $C_2H_4$ ,

C<sub>2</sub>H<sub>6</sub>, etc.) and radicals. These hydrocarbons and carbon monoxide are the carbon sources for CNT formation on metal catalyst particles that are introduced to the flame either in the form of a substrate coating or as aerosolized particles (Gopinath & Gore, 2007). The structure of CNTs (MWCNTs and/or SWCNTs) produced by flame synthesis methods depends on the catalyst particle size and carbon deposition rate. This technique could be applied for large-scale production of CNTs. However, owing to the presence of various hydrocarbons in the flame, it is extremely difficult to control the growth and properties of the CNTs. Therefore, further work on optimizing the experimental conditions is essential before this method can be deployed for industrial-scale synthesis.

### **3.5. Gas-phase synthesis of graphene**

Graphene, a single layer of carbon atoms arranged in a honeycomb structure, has attracted much attention owing to its superior properties such as large specific surface area, high charge carrier mobility, high thermal conductivity, and high thermal, mechanical and chemical stability. These properties make graphene an excellent material for many applications in various fields (Novoselov et al., 2012). The first graphene was produced by micromechanical cleavage of highly oriented pyrolytic graphite using Scotch tape (Novoselov et al., 2004). This method is a simple way of obtaining high-quality graphene with low defect density, and thus has been popularly used for studying the properties of graphene. However, the method is not scalable and not suitable for large-scale production.

Many techniques have been developed for the synthesis of graphene and its derivatives (multilayer graphene, graphene oxide, etc.). These can be divided into two groups: top-down and bottom-up approaches. This has been reviewed (Choi, Lahiri, Seelaboyina, & Kang, 2010; Li & Chopra, 2014a, 2014b). The top-down techniques include chemical or mechanical exfoliation (Liu et al., 2014; Paton et al., 2014) and reduction of graphene oxide (Feng, Cheng, Zhao, Duan, & Li, 2013; Zhou, Cui, Xiao, Jiang, & Han, 2014). The main advantages of these methods include their simplicity, low production cost, low-temperature operation and high feasibility for large-scale production. However, they also have several shortcomings, such as long process time and the generation of impurities and low-quality graphene (Li & Chopra, 2014a). The bottom-up approaches using gas-phase methods include two main techniques: epitaxial growth (Aristov et al., 2010; Emtsev et al., 2009) and chemical vapour deposition (Lee et al., 2014; Li et al., 2009b; Yang et al., 2013). These are also known as high-temperature techniques, and produce high-quality graphene. Epitaxial growth involves the graphitization of carbon atoms on a carbon-enriched surface at high temperature in ultrahigh vacuum (Emtsev et al., 2009; Li & Chopra, 2014b); this can only be used for producing graphene on the lab-scale. The CVD of graphene is generally carried out at atmospheric pressure at lower temperatures (<1000 °C) using hydrocarbons as the carbon source. Nowadays, CVD is the most commonly used technique for producing high-quality graphene on flat surfaces.

The synthesis of graphene powder on a large scale still relies on the top-down approaches using exfoliation and chemical reduction methods; the gas-phase approach is still challenging owing to the strict requirements of the catalytic surface to grow the 2D material. Nevertheless, recent developments show that scalable gas-phase synthesis of graphene powders is achievable. Shen and Lua (2013) have demonstrated a facile approach for the large-scale continuous synthesis of graphene by a thermocatalytic method using Fe nanoparticles as the catalyst and CH<sub>4</sub> as the carbon precursor. Dervishi et al. (2009) employed a radio frequency catalytic CVD (RF-cCVD) method using Fe-Co/MgO as the catalyst and acetylene as the carbon source. The use of RF-cCVD prevented the formation of amorphous carbon and other unwanted products while increasing the yield of the product. Dato et al. (2009) and Dato, Radmilovic, Lee, Phillips, and Frenklach (2008) reported a substrate-free gas-phase graphene synthesis involving the introduction of an aerosol containing ethanol droplets and argon gas into an atmospheric pressure microwave-generated plasma. When passing through the plasma, the ethanol droplets rapidly ( $\sim 10^{-10}$  s) evaporated and decomposed, forming graphene. This method produces clean and highly ordered graphene sheets comparable to the graphene obtained by the mechanical exfoliation method (Dato et al., 2009). Nevertheless, the technique still needs further development and optimization to enable the large-scale production of high-quality graphene powder.

## **4. Agglomeration, fluidization and mixing of nanoparticles**

The transport and mixing of nanoparticles are often crucial steps in the manufacturing and processing of nanostructured materials. However, handling dry nanoparticles is still difficult owing to their natural tendency to form large micron-sized agglomerates with very low density, reducing their surface area per unit volume and hindering their use in large-scale processes. In this section, we discuss the clustering tendency of primary nanoparticles, focusing on the cohesive forces that cause agglomerates to form. Then, we address gas-phase processing methods, with a focus on fluidization: suspension of particles in an upward-flowing gas. The characteristics and advantages of this method are described in detail owing to its wide applications in industry. Other mixing methods, such as dry particle coating and rapid expansion of supercritical suspensions (RESS), are finally compared with the fluidization approach.

### **4.1. Agglomeration behavior of nanoparticles in the gas phase**

Nanoparticles encountered in the gas phase form agglomerates of small size, with void fractions of up to 98%–99% and low bulk densities (Jung & Gidaspow, 2002; Wang, Gu, Wei, & Wu, 2002b). The primary nanoparticles can be bonded together by aggregation and/or agglomeration. We use the term aggregation for cases where the nanoparticles are attached to each other by chemical forces (metallic, ionic or covalent bonds). Aggregation typically takes place in the large-scale, high-temperature production methods described in chapter 2. Agglomeration refers to adhesion between particles caused by physical forces (Eggersdorfer & Pratsinis, 2014), and is mostly unavoidable when nanoparticles are kept in high concentration. In many practical situations, aggregation and agglomeration both play a role. In a fluidized

bed, nanoparticles have been found to be in a dynamic equilibrium between disintegration forces (e.g. drag, collision, and gravitational) and cohesive forces (e.g., capillary, van der Waals, and electrostatic) (Matsuda, Hatano, Muramoto, & Tsutsumi, 2004). Such bonds lead to the formation of complex agglomerates of hundreds of micrometers in size that are composed of both aggregates and primary nanoparticles. Thus, knowledge of the nanoparticle characteristics that lead to agglomerate formation will also reveal the resulting agglomerate structure. When particles are in contact in the gas phase, there are three main interactions between them that influence their cohesion behavior: capillary, electrostatic and van der Waals interactions (Seville, Willett, & Knight, 2000). Fig. 8 summarizes the magnitude of these forces.

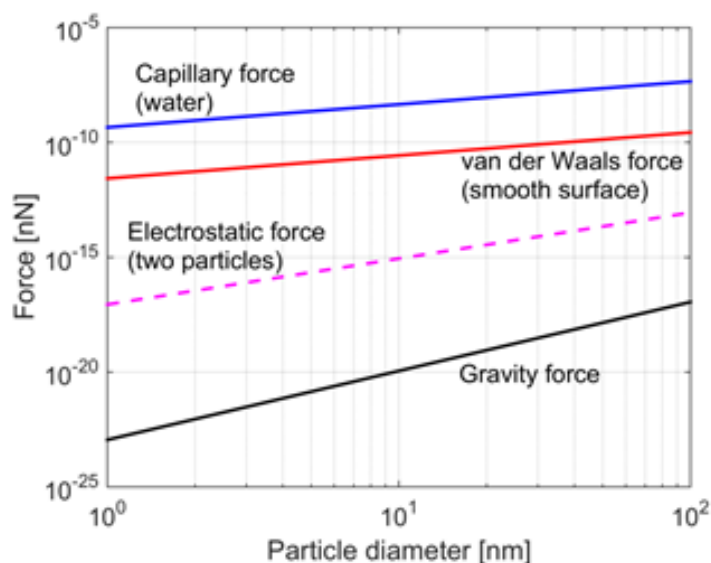


Fig. 8. Comparison of attractive forces between two identical silica particles ( $\rho = 2200 \text{ kg/m}^3$ ) as a function of particle diameter. The capillary force is obtained for water; the van der Waals force assumes a distance of 1 nm between particles and the electrostatic force is between two particles carrying opposite charges separated by 1 nm.

Capillary forces are caused by the presence of liquid bridges that originate from the adsorption and condensation of molecules on a particle surface. When these forces appear, they are dominant at the nanoscale (Farshchi-Tabrizi, Kappl, Cheng, Gutmann, & Butt, 2006). The surface tension, viscosity of the liquid and surface properties of the particles (i.e. hydrophobicity) strongly influence the magnitude of the interaction (Seville et al., 2000). For example, a high relative humidity of the surrounding air can promote sintering if the particle material is soluble, enhance particle cohesiveness or reduce the friction between particles (Podczec, Newton, & James, 1997; Rondet, Ruiz, & Cuq, 2013). Recently Salameh et al. (2012) reported the importance of structural forces (solvation forces) between nanoparticles. Atomic force spectroscopy studies in combination with all-atom molecular dynamic simulations showed that these forces are of the same magnitude as capillary forces (Laube, Salameh, Kappl, Mädler, & Colombi Ciacchi, 2015; Salameh et al., 2012). These results were supported by Tahmasebpour, de Martin, Talebi, Mostoufi, and van Ommen (2013), who described the formation of hydrogen bonds between hydrophilic nanoparticles in

dry and dense suspensions. They showed that dry nanoparticles with a polar surface form direct hydrogen bonds, increasing the cohesion force.

Van der Waals forces are always present between particles. Owing to the small size of nanoparticles, it is generally accepted that this force is more significant than the others in the absence of water bridges (Shabanian, Jafari, & Chaouki, 2012). The magnitude of van der Waals forces depends on structural and material properties such as size, separation, Hamaker coefficient and contact geometry. In particular, surface roughness is the key factor that determines the magnitude of the forces (Castellanos, 2005; Li, Rudolph, & Peukert, 2006; van Ommen, Valverde, & Pfeffer, 2012).

Electrostatic forces are present when the particles are charged. These have been typically neglected because the adhesion force between particles of  $\leq 5 \mu\text{m}$  in size is dominated by the other forces (Zhang & Ahmandi, 2011), although they can be present between agglomerates owing to triboelectric charges. The charge distribution of nanoparticles has been measured by Lamminen (2011). Hakim, Blackson, George, and Weimer (2005a) reduced the electrostatic forces between agglomerates in a fluidized bed by applying an antistatic surfactant to the column wall, decreasing the minimum fluidization velocity. Similarly, Quevedo and Pfeffer (2010) obtained an improved fluidization by bubbling the gas flow through an ethyl alcohol-water solution. Further analysis of this effect showed that the presence of isopropanol vapour weakens the cohesion between particles (Tahmasebpour et al., 2013).

Owing to these strong cohesive forces, nanoparticles are commonly found as agglomerates in the gas phase. The structure of these agglomerates corresponds to the well-known fractal geometry theory developed by Mandelbrot (1982). The concept of a fractal is associated with geometrical objects that satisfy self-similarity and fractional dimensionality, such as a snowflake. The former property means that a fractal is formed of similar sub-units on multiple levels, while the fractal dimension gives information about the agglomerate structure and its growth mechanism (Nam, Pfeffer, Dave, & Sundaresan, 2004; Quevedo et al., 2006; Wang, Palero, Soria, & Rhodes, 2006). The scaling law for the number of particles involved in an agglomerate is often written as:

$$N_p = k_n \left( \frac{d_a}{d_p} \right)^{D_f}, \quad (1)$$

where  $d_p$  is the diameter of the primary particles,  $d_a$  is the diameter of gyration of the agglomerate,  $k_n$  is a structural prefactor, and  $D_f$  is the mass fractal dimension.

Many authors have analysed the structure of agglomerates from a fractal point of view. The experiments of Forrest and Witten (1979) were the first to describe the clustering of nanoparticles as chain-like agglomerates of primary particles formed by Brownian collisions. They reported a fractal dimension of  $D_f \sim 2$  for smoke-particle aggregates. For fluidized beds, more recent works have obtained a fractal dimension of about 2.5 (Nakamura & Watano, 2008; Nam et al., 2004; Quevedo et al., 2006; Valverde &

Castellanos, 2008; Valverde et al., 2008; Wang et al., 2006). In these works, the fractal dimension was measured indirectly using image analysis or bed expansion measurements owing to the difficulty of measuring the properties of fluidized agglomerates in situ. Furthermore, the studies employed Eq. (1) with  $k_n = 1$ , assuming mono-dimensional clusters for all scales. This value implies that the nanoparticle agglomerates are built from single nanoparticles and on all scales may be described with only one fractal dimension. However, the multi-stage structure of the nanoparticle agglomerates shown in Fig. 9 contradicts the common assumption of  $k_n = 1$ .

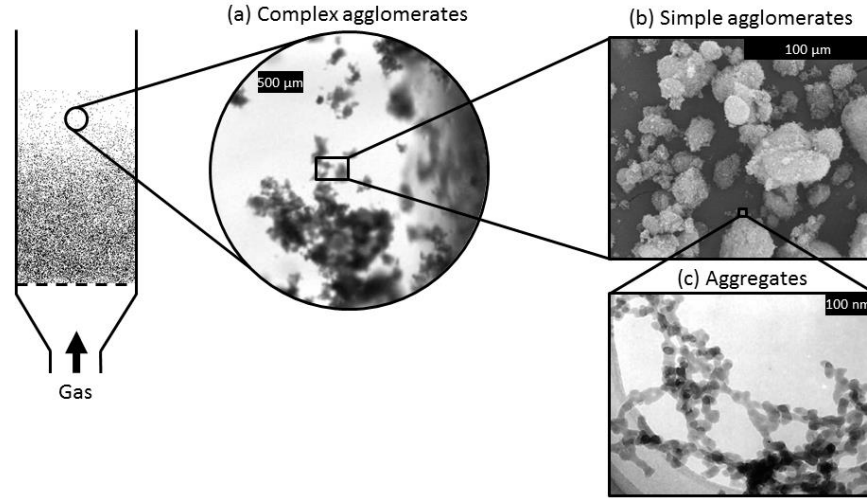


Fig. 9. Multi-level structure of fluidized agglomerates showing the differences for complex agglomerates, simple agglomerates and aggregates (SEM/TEM images). (pictures reprinted with permission from Elsevier ((a) (Hakim et al., 2005b; (b) Wang et al., 2007; (c) Wang et al., 2002b))

A three-stage agglomerate formation mechanism has been widely accepted in the literature (Shabanian et al., 2012; van Ommen et al., 2012; Wang et al., 2002b). For the multi-stage agglomerate (MSA) structure, the agglomerates are formed by three subsequent mechanisms: (i) the formation of 3D net-like aggregates during particle production, Fig. 9(a), (ii) the formation of so-called simple agglomerates by the linking of the aggregates, Fig. 9(b), and (iii) the combination of the simple agglomerates and aggregates into complex agglomerates, Fig. 9(c) (Wang et al., 2002b). However, this cascade of clustering mechanisms has been described using only one fractal dimension. Whether this approach is valid for the overall agglomeration mechanism has been recently addressed by the works of de Martin, Bouwman, and van Ommen (2014a) and de Martín, Fabre, and van Ommen (2014b). They used in situ spin-echo neutron small-angle neutron scattering (SESANS) to measure the structure of agglomerates under stagnant and fluidizing conditions. With these experiments, they demonstrated that the structure prefactor  $k_n$  that relates the size of the complex agglomerate to the nanoparticle can vary with size. This implies that the agglomerate structure can be described by a sequentially formed three-level fractal. From a practical point of view, the prefactor and fractal dimension values can be used as a quality index to determine whether the agglomerate scale changed during the processing of nanoparticles (de Martín et al., 2014b). A subsequent

approach (de Martin et al., 2014a) linked the multifractal structure of the agglomerates to the synthesis, storage and fluidization of the powder. Fig. 10 shows the gyration diameter dependency of the multifractal nature of agglomerates with multi-stage agglomerate structure proposed by Wang et al. (2002b):

- i. Aggregates (1–100 nm), which are characteristic of stored and fluidized agglomerates formed by in-flame processes and showing a fractal dimension of about 2.
- ii. Simple agglomerates (1–10  $\mu\text{m}$ ), which are related to unbreakable clusters during fluidization that could be responsible for the memory effect of nanopowders in a fluidized bed. This scale is characterized by a large fractal dimension of  $\sim 2.7$ .
- iii. Complex agglomerates ( $>10 \mu\text{m}$ ), which are large agglomerates characterized by low relative strength and a fractal dimension of about 2.

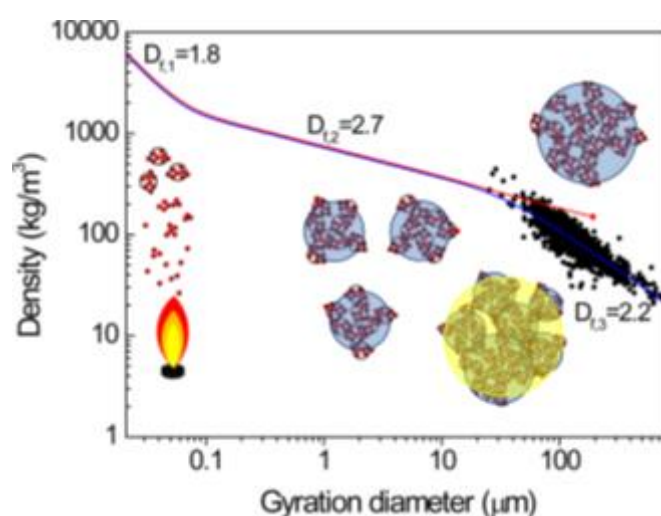


Fig. 10. Conceptual evolution of agglomerate density as a function of gyration diameter. The blue line represents fluidized agglomerates, while the red line indicates stored  $\text{TiO}_2$  P25. Black dots are experimental data for fluidized tests. (reprinted with permission from the American Chemical Society (de Martin et al., 2014a))

## 4.2. Fluidization of nanoparticles

High heat and mass transfer coefficients, together with the possibility of processing large amounts of materials, make fluidization a suitable technology for handling nanoparticles. Recently, multiple reviews have pointed out the rise of this technology in nanoparticle research (Shabanian et al., 2012; van Ommen et al., 2012; Zhu, Zhang, Wang, & Wei, 2015). Here, we highlight the main features of nanoparticle fluidization.

Based on their size and density, nanoparticles fall under Geldart group C ( $< 30 \mu\text{m}$ ) classification, indicating unfavorable fluidization characteristics. However, when nanoparticles are suspended in an upward flow of gas, they can be fluidized owing to the formation of micron-sized agglomerates. In fact,



Wang, Rahman, and Rhodes (2007) showed that nanoparticle agglomerates exhibit both Group A and Group C characteristics. These agglomerates are formed by the strong cohesive forces between the nanoparticles, and thus the properties of these agglomerates rather than the properties of the primary nanoparticles determine their fluidization behavior. Fluidized nanoparticles are found to appear as large clusters (100–400  $\mu\text{m}$ ) with fractal structure, low bulk density and a high void fraction of about 98%–99% (Wang et al., 2002b).

Two different types of fluidization behavior have been reported in the literature for nanoparticles: agglomerate particulate fluidization (APF) and agglomerate bubbling fluidization (ABF) (Wang et al., 2002b). APF refers to a smooth and bubbleless fluidization with high bed expansion ratio and low fluidization velocity. The fluidization of nanoparticles at very low gas velocities results in the formation of weak channels, which collapse with increasing gas velocity, leading to a smooth fluidization without dead regions. In contrast, bubbles, a low bed expansion ratio (less than 50%) and segregation of particles with large agglomerates at the bottom of the bed are observed in ABF. As a result, stable channels are formed throughout the bed, causing the stratification of the agglomerates along the bed height. Typically, a defluidized region of large agglomerates is present at the bottom of the bed, while a fluidized region with smaller agglomerates is found in the upper part (Hakim, Portman, Casper, & Weimer, 2005b; Nam et al., 2004; Wang et al., 2002b; Zhu, Yu, Dave, & Pfeffer, 2005). The ABF regime can also be found in fluidized beds containing micron-sized particles and high-viscosity gas (Valverde & Castellanos, 2007). A comprehensive comparison of both fluidization types can be found in Zhu et al. (2005).

There are two main classification criteria used to discriminate between APF and ABF behavior. Zhu et al. (2005) proposed the definition of a dimensionless number based on the work of Romero and Johanson (1958). However, more research is needed to generalize this classification. Valverde and Castellanos (2007) proposed a different approach based on the modification of empirical relationships used to describe liquid fluidization. They adapted these equations to micro- and nanopowders in the gas phase to replace Geldart type C behavior with solid-like to fluid-like elutriation (SFE) behavior and fluid-like to bubbling (SFB) behavior (Fig. 11).

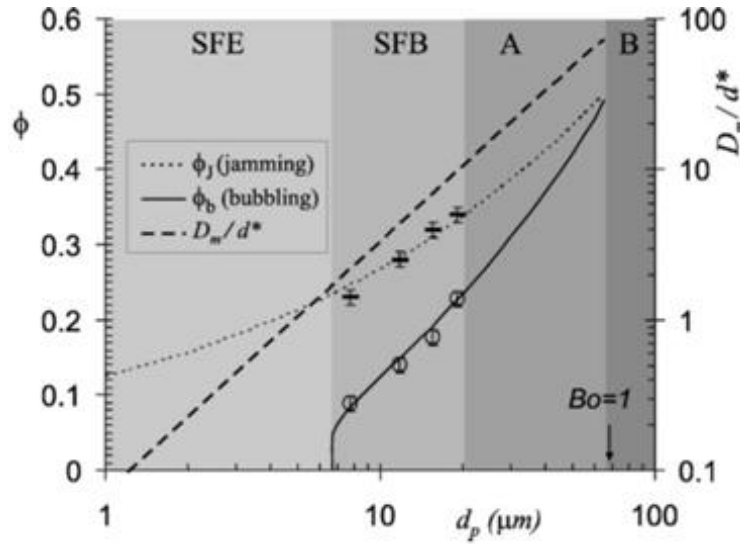


Fig. 11. Types of fluidization behavior as a function of particle size. (reprinted with permission from the American Physical Society (Valverde & Castellanos, 2007))

Many authors have studied the properties of agglomerates owing to their relevance to fluidization behavior. SEM analysis has been widely applied to measure the size of agglomerates. For this procedure, the agglomerates are usually sampled from the bed with adhesive tape or by aspiration (Shabanian et al., 2012). However, this involves the physical manipulation of the porous and fragile agglomerates, which can modify their structural properties. Other methods that measure the size of agglomerates without altering their structure have been reported. Agglomerate size can be indirectly estimated from the bed expansion (Quintanilla et al., 2008; Quintanilla, Valverde, Espin, & Castellanos, 2012; Wang et al., 2002b; Yu, Dave, Zhu, Quevedo, & Pfeffer, 2005), bed settling (Quintanilla et al., 2008; Valverde & Castellanos, 2006), and/or the minimum fluidization velocity (Matsuda et al., 2004). The size data are obtained either using the modified Richardson-Zaki equation or by semi-empirical models (Tahmasebpour et al., 2013; Valverde & Castellanos, 2008; Yang, 2005). Other approaches employ image techniques to visualize individual agglomerates, such as particle/droplet image analysis (PDIA), in which a laser is used to illuminate the agglomerates and measure their size from their projection (Zhu et al., 2005). Recently, de Martín, Sánchez-Prieto, Hernández-Jiménez, and van Ommen (2014c) proposed the use of a settling tube to collect fluidized agglomerates in the splash zone and visualize them using a boroscope attached to a high-speed camera. Furthermore, the fractal dimension can also be used to estimate agglomerate size. The value of this parameter may be obtained through scattering techniques (de Martín et al., 2014b, 2014c; de Martín & van Ommen, 2013). Recently, such a technique was used together with a nanoparticle spectrometer and an optical particle counter to measure the particle size distribution from 5 nm to 4 mm in the freeboard (Fabre et al., 2016). Other works have employed radioactive techniques, such as X-ray microtomography (Gundogdu, Jenneson, & Tuzun, 2006) or  $\gamma$ -ray densitometry (Esmacili, Chaouki, & Dubois, 2008; Jung & Gidaspow, 2002), to evaluate solid hold-up during the fluidization of nanoparticles.

Typically, the pressure drop in the bed is measured to determine the fluidization quality. The measurement of pressure fluctuations has also been employed to understand the fluidized-bed dynamics of nanoparticles. For example, time and frequency domain techniques together with state space analyses were studied in Ajbar, Bakhbakhi, Ali, and Asif (2011). The dominant frequency was used to determine the influence of the rotation speed of a rotary reactor coupled with an atomic layer deposition process (Duan, Liu, Shan, & Chen, 2015). However, in spite of efforts to determine the hydrodynamics of nanoparticle fluidization from pressure signals (Tamadondar, Zarghami, Tahmasebpour, & Mostoufi, 2014), further research is needed to give a complete view for both ABF and APF behavior.

Independently of the fluidization regime, hard agglomerates will retain the memory of their loaded state before fluidization (Valverde & Castellanos, 2006; Wang et al., 2002b). As pointed out by Wang et al. (2002b), some of these hard agglomerates are formed during storage owing to van der Waals forces, solvation forces and water adsorption (Laube et al., 2015; Salameh et al., 2012). Because of this, it is common practice to sieve the powder to remove agglomerates larger than 500  $\mu\text{m}$  before fluidization (van Ommen et al., 2012). Large agglomerates can also form during fluidization (Wang et al., 2002b). As reported by Nam et al. (2004), large agglomerates of Aerosil R974 hydrophobic silica powder cannot be fluidized by simply aerating the bed. However, the application of vibration causes the interparticle networks to break and the resulting agglomerates can be fluidized using aeration alone. Similarly, assistance methods have focused on the breakage of the initial network to further improve the fluidization quality (Ammendola, Chirone, & Raganati, 2011a). These methods include mechanical vibration, stirring, sound waves, magnetic/electric fields, centrifugal fields, pulsed flows, secondary gas from microjets and addition of particles. The excellent reviews of Shabanian et al. (2012), van Ommen et al. (2012), and Zhu et al. (2015) detail the characteristics of each of these methods.

External force generated by sound can break up a significant number of large agglomerates, reducing channelling and the minimum fluidization velocity, and increasing bed expansion (Ajbar et al., 2011; Guo, Li, Wang, Shen, & Yang, 2006; Zhu et al., 2004). Recently, Chirone and co-workers have reviewed their main results in the field of  $\text{CO}_2$  adsorption using sound-assisted fluidized beds (Raganati, Ammendola, & Chirone, 2015). They optimized the capture of  $\text{CO}_2$  by fine activated carbon using a sound intensity of 125 dB in a frequency range of 50–120 Hz. A further work employing activated carbon studied the effect of temperature swing adsorption (TSA) on  $\text{CO}_2$  adsorption, in which the sorbent and  $\text{CO}_2$  recovery were regenerated with a temperature increase and gas (Raganati et al., 2015). They were able to perform adsorption/desorption cycles at 140 dB and 80 Hz under different temperatures and flow rates.

An alternating electric field has been used to agitate heavy agglomerates settled at the bottom of a bed by enhancing its gas distribution (Quintanilla et al., 2012). However, the bed showed stratification by agglomerate weight, with the lightest agglomerates located in the freeboard. A detailed comparison of electric and vibration assistance methods is reported in Quintanilla et al. (2008).

Pulsation of the fluidizing gas is another method used to overcome the gas bypass and gas channelling usually observed during the fluidization of ABF nanoparticles. This results in periodic expansion and contraction of the bed height, which reduces hysteresis effects and the minimum fluidization velocity (Akhavan, Rahman, Wang, & Rhodes, 2015; Ali & Asif, 2012; Ali, Asif, & Ajbar, 2014). Tiznado et al. (2014) used a pulsed bed to process powder supports synthesized by atomic layer deposition. They employed different powders (carbon nanotubes of 50–100 nm in diameter,  $\text{YCrO}_3$  of  $\sim 1\ \mu\text{m}$  in diameter, and  $\text{ZnO}$  of  $\sim 10\ \mu\text{m}$  in diameter) in a modified version of a standard atomic layer deposition reactor.

The improvement of nanoparticle fluidization by particle addition may be advantageous for applications in powder coating. For example, the addition of sand particles (Geldart A) to a bed of Aerosil 200 (Ajbar et al., 2011) was reported to reduce powder entrainment and increase the bed pressure drop. Duan, Liang, Zhou, Wang, and Tang (2014) analysed the influence of adding catalyst particles (FCC) and coarse  $\text{Al}_2\text{O}_3$  particles to a single type or a binary mixture of nanoparticles. The minimum fluidization value was substantially reduced when 30%–40% of FCC particles were added to the system.

Another method used to transport nanoparticles is pneumatic conveying. Recently, Anantharaman, van Ommen, and Chew (2015) reported the effect of nanoparticle properties on transport behavior. Also in these cases, the nanoparticles move as agglomerates. Several researchers have studied the flow properties of nanoparticles in the absence of a gas flow. For example, investigations of the flowability of micron-sized materials coated with nanoparticles by dry particle-coating devices (Yang, Sliva, Banerjee, Dave, & Pfeffer, 2005), or mixed (Kojima & Elliott, 2013; Perez-Vaquero, Valverde, & Quintanilla, 2013), have shown that the addition of smaller-sized silica nanoparticles reduces the internal friction of a powder, but increasing their concentration can also reduce the flowability. Recently, some authors have studied the flow properties of several oxide nanoparticles (Xanthakis, van Ommen, & Ahrné, 2015), carbon black and silica nanopowders (Jacques, François, & Philippe, 2014), and mixtures of silica nanoparticles with fine cohesive powders (Kojima & Elliott, 2013). In the same way, Xanthakis et al. (2015) showed with shear tests that hydrophobic nanopowders behave more cohesively than their hydrophilic counterparts.

### **4.3. Mixing of nanoparticles**

The tendency of nanoparticles to agglomerate reduces their high surface area per unit volume and therefore decreases their potential use in industrial applications. In well-mixed nanocomposites, the loss of surface area is prevented, and thus special properties can be obtained by the proper dispersion and mixture of the constituent nanoparticles. The degree of homogeneity of a given mixture is measured with the mixing index, which is a statistical quantification based on the composition of the mixture (Fan, Chen, & Watson, 1970; Fan, Chen, & Lai, 1990). However, the great number of methods available in the literature and the difficulties of obtaining reliable powder sample sizes make it hard to select a proper mixing index (Bridgwater, 2012; Huang & Kuo, 2014). To further increase the complexity, nanoparticle agglomerates are extremely fragile, which complicates their handling and sampling. Nevertheless, several imaging

techniques can be used to obtain images suitable for analyzing mixing quality, such as field emission scanning electron microscopy (FESEM) (Narh, Agwedicham, & Jallo, 2008; Scicolone, Lepek, Louie, & Davé, 2013), transmission electron microscopy (TEM) (Wei, Dave, & Pfeffer, 2002), electron energy loss spectroscopy (EELS) (Yang, Wang, Dave, & Pfeffer, 2003), atomic force microscopy (AFM) (Wei, Dave, et al., 2002) and energy-dispersive X-ray spectroscopy (EDS) (Sanganwar, Gupta, Ermoline, Scicolone, & Dave, 2009; To, Dave, Yin, & Sundaresan, 2009; To, Sundaresan, & Dave, 2011). Among them, it has been shown that EELS can be used to characterize binary mixtures of nanoparticles (Yang et al., 2003), while EDS provides information on a scale of about 1  $\mu\text{m}$  (Wei, Dave, et al., 2002).

Yang et al. (2003) measured the atomic ratio at randomly chosen spots along a sample to calculate its standard deviation as an indication of the mixing index. A more standardized procedure was used (Sanganwar & Gupta, 2008; Sanganwar et al., 2009; To et al., 2009, 2011). They differentiated between multiple high-quality mixtures using the intensity of the segregation index, which was determined using EDS data measured at 400 random points with a resolution of  $\sim 2 \mu\text{m}$ , and coarse-scale mixtures using the scale of the segregation index, determined using EDS-based maps of elemental concentration for areas of  $75 \times 50 \mu\text{m}^2$ . In a similar way, Scicolone et al. (2013) employed the homogeneity of mixing index (HoM), which is the complement of the intensity of segregation. The homogeneity or mixing efficiency, fractal dimension and agglomerate size were measured by Daumann, Weber, Anlauf, and Nirschl (2011) to analyze the mixing quality of dry nanoparticle mixtures.

For proper dry particle mixing at the aggregate scale, the first step is deagglomeration: the disintegration of the agglomerates into smaller aggregates. The degree of mixing depends on the competition between shear and cohesive forces. Thus, if the external forces are large enough to break up the agglomerates, mixing will occur at the scale of the aggregates. Otherwise, only the agglomerates will be mixed, at larger-length scales (Wei, Dave, et al., 2002). Traditional methods used to create nanocomposites involve the use of liquid solvents with additives and the application of high shear forces. In these techniques, two-component powders are suspended in a liquid solvent inside a beaker. After the breakage of the agglomerates, which can be done either by high shear mixing or ultrasonic cavitation, the colloidal suspension is dried and collected (Oliveira, Chen, & Ferreira, 2002). Nanoparticle agglomerates can be broken to below 100 nm in size using wet methods (Seekkuarachchi & Kumazawa, 2008). However, these processes involve the use of solvents and surfactants, require additional steps of filtration and drying, and are often environmentally unfriendly owing to volatile organic compound emissions (To et al., 2009; Wei, Dave, et al., 2002). Other works have tried to overcome these drawbacks of wet mixing using nanoparticle fluidization, dry particle mixing, mixing with supercritical fluids and sonication in supercritical  $\text{CO}_2$ .

Coarse-scale mixing of nanoparticles can be achieved during fluidization with relatively low energy cost (To et al., 2009). Huang, Wang, and Wei (2008) studied the mixing quality of  $\text{SiO}_2$  in a conventional fluidized bed by adding a phosphor tracer at less than 5 wt%. Their results showed that both the axial and radial mixing coefficients were two orders of magnitude lower than those in FCC systems owing to the

extremely loose structure and low density of the agglomerates. Recently, assistance methods have been applied to improve the mixing of nanoparticles. The influence of processing time on the degree of mixing has been studied at different length scales in a vibrating fluidized bed (Liang, Duan, Zhou, & Kong, 2014; Liang, Duan, Wang, & Zhou, 2014, 2015; Nam et al., 2004), in a rotating or centrifugal bed (Nakamura & Watano, 2008), and in a sound-assisted bed (Ammendola & Chirone, 2010; Ammendola et al., 2011a, 2011b). From a macroscopic point of view, only a few minutes are required to mix two nanopowders above agglomerate-scale lengths in vibrated (Nam et al., 2004), rotating (Nakamura & Watano, 2008) and sound-assisted beds (Ammendola et al., 2011b). From a microscopic point of view, larger processing times (80–150 min) are needed to mix at the aggregate scale (Ammendola et al., 2011a). In a similar way, Quevedo and Pfeffer (2010) showed that microjets can promote the mixing of alumina and iron oxide nanoparticles at the aggregate scale. Furthermore, the effect of processing time was also addressed for a magnetically fluidized bed by Scicolone et al. (2013). This method achieved mixing results comparable with those obtained with the rapid expansion of high-pressure suspension processes.

In 1975, Hersey (1975)[missing in References] introduced the idea of ordered mixing, such as the use of finer particles that form a loose layer around larger particles. One step further is to use large mechanical forces to perform dry particle-coating processes in which the guest particles are physically or chemically bonded to the host particles, making the surface cover more permanent, as shown in Fig. 12 (Pfeffer, Dave, Wei, & Ramlakhan, 2001).

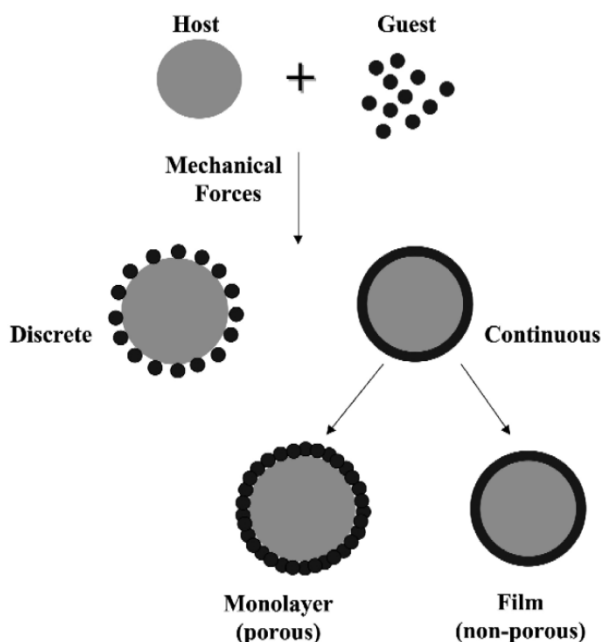


Fig. 12. Dry particle-coating process involving the creation of a layer of guest particles on the surface of host particles. Depending on the forces between particles, a discrete or continuous layer can be formed. (reprinted with permission from Elsevier (Pfeffer et al., 2001))

Magnetically assisted impaction mixing (MAIM) has been applied to dry particle mixing, and has also been used for dry particle coating (Pfeffer et al., 2001; Yang et al., 2003). In this technique, the two component powders are placed together with larger magnetic particles in a container, which is then subjected to an oscillating magnetic field. As a result, the whole system acts as if it is fluidized, although no fluidizing gas is present (Wei, Dave, et al., 2002). An optimization of this method is reported in Scicolone, Mujumdar, Sundaresan, and Davé (2011), where a mixing quality comparable to that achieved with the RESS was obtained. Similarly, carbon nanotubes were dry coated on polyethylene oxide particles in a MAIM (Narh et al., 2008), although the carbon nanotubes were previously deagglomerated using both RESS and high-intensity ultrasonic techniques.

Other dry particle mixing techniques employ high centrifugal forces to break up the agglomerates. Perez-Vaquero et al. (2013) mixed silica nanoparticles with limestone in a ball mill for CO<sub>2</sub> adsorption in a fluidized bed. Wei, Dave, et al. (2002) and Yang et al. (2005) reported other methods, such as a hybridization system consisting of a cylindrical chamber with high-speed blades that produced high centrifugal forces and high-intensity collisions. Another technique is mechanofusion, which involves the use of a cylindrical drum that rotates at high speed (up to 3000 rpm) to produce high-intensity compressive forces. Compared with the solvent-based methods, this hybrid system achieved similar mixing performance.

In mixing by RESS or rapid expansion of high-pressure suspensions (REHPS), the nanoparticles are first pressurized with supercritical carbon dioxide and then rapidly depressurized through a nozzle (To et al., 2009; Wei, Dave, et al., 2002; Yang et al., 2003). The resulting deagglomeration can be attributed to the penetration of supercritical CO<sub>2</sub> into the nanopores of agglomerates and its subsequent rapid expansion, which breaks the agglomerates, as shown in Fig. 13.

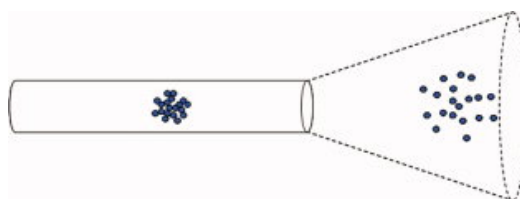


Fig. 13. In the rapid expansion of supercritical suspensions or high-pressure suspensions (RESS/REHPS) technique, the powder sample to be deagglomerated is suspended in supercritical or high-pressure CO<sub>2</sub>, and the mixture is expanded through a capillary nozzle, breaking the nanoparticle agglomerates. (image reprinted with permission from Wiley-VCH (To et al., 2009))

This method showed better mixing performance than solvent-based methods ( Wei, Dave, et al., 2002). As shown by Sanganwar & Gupta (2008), the mixing of silica nanoparticles with itraconazole nanoflakes reduced the growth of the drug particles during storage and improved their flow properties. The mixing of silica, titania and alumina was achieved through this method even though the surface of the

particles was not modified (Ghoroi et al., 2013). This method can also be used to deagglomerate carbon nanotube bundles and mix them at the sub-micron scale (To et al., 2011).

The sonication of nanoparticle suspensions is similar to REHPS. In this case, ultrasound is applied to a suspension of nanopowder in supercritical carbon dioxide (Sanganwar et al., 2009). The pressure waves propagate through the gaseous and supercritical CO<sub>2</sub>, inducing particle collisions that result in the breakage of loose agglomerates and mixing of dissimilar particles. The final mixture is separated from the CO<sub>2</sub> by depressurization. Recently, this method has been used to create a hydrophobic surface on cellulose and polyester fibers by effectively coating them with titania nanoparticles (Matsuyama, Tanaka, & Okuyama, 2014).

## 5. Atomic layer deposition for particle nanostructuring

Currently, several gas-phase techniques are applied for the coating of micron-sized particles with films of comparable thickness. When it comes to growing much thinner features (nanometer-sized films or particles), however, only a few techniques are suitable. Multimaterial particles can be made with advanced flame spray pyrolysis (see Fig. 3), but making core-shell particles is not straightforward with this technique, although a few examples have been published (Choi, Lee, & Chan Kang, 2013; Waser, Büchel, Hintennach, Novák, & Pratsinis, 2011). Atomic layer deposition (ALD) is a versatile technique that can be used to apply a variety of ultrathin coatings around particles of nano- to micrometer-size. This is a gas-phase technique relying on the distinct, consecutive binding of two (or more) compounds in a combined structure under a self-limiting chemisorption/reaction scheme. Intermediate purging of the reactor volume ensures that excess reactants and process by-products are effectively removed (see illustration in Fig. 14). A simplified reaction scheme for aluminium oxide ALD using trimethylaluminium (TMA) and water is shown below (Puurunen, 2005) (// denotes the solid surface). Carrying out reactions (A) and (B) constitutes one A-B ALD cycle:

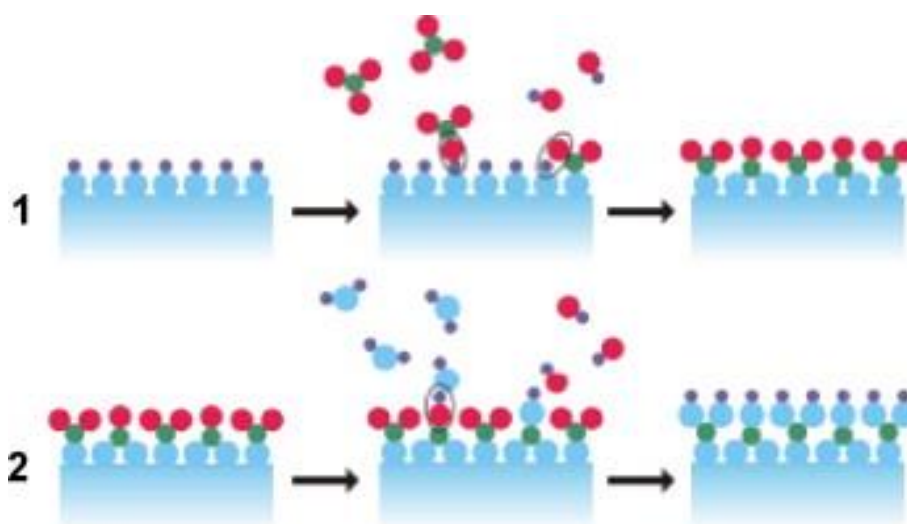
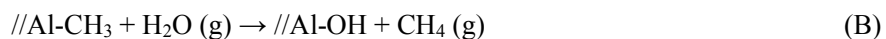
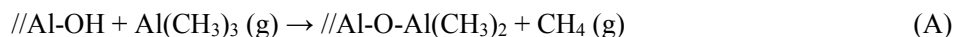




Fig. 14. Schematic representation of atomic layer deposition (ALD) half-reactions: (1) chemisorption of precursor (e.g. trimethylaluminium) at the sample surface and (2) reaction of adsorbed species with the co-reactant (e.g. water) resulting in ligand removal and re-population of the surface with the initial active sites. (reprinted with permission from Wiley-VCH (van Ommen et al., 2010))



The principle and application of ALD were initially established in the former Soviet Union, under the term molecular layering (ML) (Puurunen, 2014), by V. B. Aleskovskii during the formulation of his matrix (or framework) hypothesis. In this section of the review, we focus on the development of ALD technology (and its organic counterpart molecular layer deposition, MLD) for the processing of nanostructured solids, excluding this early work in the former Soviet Union. Additionally, we have not considered applications of ALD on supported powders (e.g. powders on wafers, TEM grids, etc.) but focus on cases where the application potential can be clearly assessed from the reactors used and the batch sizes processed.

To our knowledge, the first reported application of ALD (or atomic layer epitaxy, ALE, as it was called at the time) to powder nanostructuring was the work of Lakomaa, Haukka, and Suntola (1992) on the modification of a small batch of micron-sized porous  $\text{SiO}_2$  powder with  $\text{TiO}_2$ . The first application of MLD implemented in a hybrid mode (using an ALD inorganic precursor with an organic co-reactant) was reported by Liang, King, Li, and Weimer (2009b), who modified  $\text{SiO}_2$  and  $\text{TiO}_2$  cohesive nanoparticles by the growth of alucone polymeric films. So far, ALD nanostructuring of solids has mostly found applications in the fields of catalysis and energy storage (battery cathodes and capacitors). Using ALD, superior heterogeneous catalysts with ultrahigh dispersion of the active phase (down to the level of single metal atoms) (Yan, Miao, et al., 2015) and stable performance realized by protective accessible overcoats (Lu et al., 2012) have been obtained. Enhanced battery materials with improved cyclability and performance characteristics produced by careful encapsulation of nanosized cathode powders (Xiao et al., 2015) have also been reported. Other fields that have demonstrated the benefits of ALD include the preparation of improved fillers/nanocomposites (Kilbury et al., 2012), phosphorescent materials (Yoon, Jung, & Kim, 2011), passivation/barrier films for reactive powders (Zhou et al., 2010a) including thermites (Qin, Gong, Hao, Wang, & Feng, 2013), and electronic components (e.g. varistors) (Weimer et al., 2008).

In the near future, it is expected that the use of ALD (and hybrid ALD/CVD (Valdesueiro, Meesters, Kreutzer, & van Ommen, 2015)) schemes will expand to the manufacturing of nanostructured solids. The gas-phase preparation of support materials (e.g. carbon nanocoils (Gao et al., 2015) or  $\text{TiSi}_2$  nanonets (Lin, Zhou, Sheehan, & Wang, 2011) made by CVD) and the modification or change of surface functionality (e.g. Ni nanocatalyst growth (Gao et al., 2015) or the deposition of  $\text{Fe}_2\text{O}_3$  seeding layer by ALD (Lin et al., 2011)) can be carried out in one reactor vessel (Selvaraj, Jursich, & Takoudis, 2013), removing a number of separation-related unit operations and greatly simplifying the process.

## 5.1. ALD nanostructuring

With ALD it is possible to grow single-component or mixed-oxide films of sub-nanometer thickness. This has been evidenced by deposition of a ~0.3–0.4-nm-thick Al<sub>2</sub>O<sub>3</sub> overcoat on LiCoO<sub>2</sub> particles after only two ALD cycles of alternating exposure to TMA and H<sub>2</sub>O (Jung et al., 2010). However, this claim is somewhat controversial because it is generally accepted that a full Al<sub>2</sub>O<sub>3</sub> monolayer (0.38 nm) can only be obtained after 3–4 ALD cycles (George, 2010). Similarly, for a material with a low growth-per-cycle (GPC), WO<sub>x</sub>, a film of 0.46 nm was measured by TEM after 25 cycles of W(CO)<sub>6</sub> and H<sub>2</sub>O<sub>2</sub> on commercial Degussa P25 TiO<sub>2</sub> nanoparticles (Jackson, Dunn, Guan, & Kuech, 2014). To our knowledge, the thickest ALD film grown on a powder support is a ~110 nm Al<sub>2</sub>O<sub>3</sub> passivation barrier deposited onto Fe powder particles (Moghtaderi, Shames, & Doroodchi, 2006). By combining ALD and MLD in hybrid schemes, thin conformal films (~1–12 nm) of materials like alucone (Liang, King, Li, George, & Weimer, 2009b), titanicone (Patel, Jiang, & Liang, 2015), and zincone (Liang, Jiang, & Weimer, 2012) have been reported. The use of certain treatment schemes can induce porosity in the films, resulting in accessible overcoats that can stabilize underlying nanostructures.

In most ALD cases of metals on oxide supports, the difference between the surface energy of the deposited material and the support results in island growth. In that case, a single ALD cycle will generally yield the smallest metal particle (cluster) size. This opens up the possibility of atomic-level dispersion of the catalyst active phase as recently reported for single-atom Pd on graphene (Yan, Cheng, et al., 2015) and Pt (Li et al., 2015). Additionally, binary alloy nanoparticles (Christensen et al., 2010; Molenbroek, Haukka, & Clausen, 1998) and core-shell bimetallic particles (and multi-metallic particles) (Lei et al., 2012) can be prepared while effectively controlling their composition and structural characteristics. An indication of the particle sizes of several metals that can be obtained after a single ALD cycle on the most commonly used support materials is given in Table 2.

Table 2 Reported nanoparticle size (in nm) for a single ALD cycle of different metals/metal oxides onto commonly used support powders

Deposited material	Support			
	Al <sub>2</sub> O <sub>3</sub>	C	SiO <sub>2</sub>	TiO <sub>2</sub>
Co/CoO <sub>x</sub>			2.7 (Backman et al., 2000)	
Cu/CuO <sub>x</sub>			2.4 (Chen et al., 2006)	
Ir	1 (Cronauer et al., 2011)			
Ni/NiO <sub>x</sub>	2.4 (Gould et al., 2013)		1.8 (Jeong et al., 2014)	
Pd	1 (Lu & Stair, 2010)	2.6 (Lei et al., 2013)		1 (Lu & Stair, 2010)
Pt	1 (Cronauer et al., 2011)	1.3 (Liang & Jiang, 2013)	3.1 (Lashdaf et al., 2004)	1 (Zhou et al., 2010b)

Ru	1 (Cronauer et al., 2011)	3.5 (Plomp et al., 2008)
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Although initial research focused on deposition in well-defined ceramic oxide supports (e.g. SiO<sub>2</sub> (Lakomaa et al., 1992), Al<sub>2</sub>O<sub>3</sub> (Lindblad, Lindfors, & Suntola, 1994), ZrO<sub>2</sub> (Milt, Ulla, & Lombardo, 2000), TiO<sub>2</sub> (Keränen, Guimon, Iiskola, Auroux, & Niinistö, 2003)), other oxides such as MgO (He et al., 2010), ZnO (Longrie, Deduytsche, Haemers, Driesen, & Detavernier, 2012), and aluminosilicates (zeolites) (Silvennoinen et al., 2007; Vuori, Silvennoinen, Lindblad, Österholm, & Krause, 2009) have also been successfully coated. Unlike oxide materials, carbon-based and polymer supports have received less attention until recently (Cavanagh, Wilson, Weimer, & George, 2009; Devine, Oldham, Jur, Gong, & Parsons, 2011; Gong et al., 2015; King, Spencer, Liang, Hakim, & Weimer, 2007; Liang et al., 2007; Yan, Cheng, et al., 2015; Zang, Lu, Qi, & Wang, 2006; Zhan et al., 2008), mainly because of the lack of a well-defined initial concentration of active sites and subsequent nucleation inhibition behavior. The inert nature of carbon nanotubes (CNTs), graphene, and even activated carbon hinders the chemisorption of metal precursors, causing non-uniformity of thin films and nanoparticles grown by ALD, which mainly takes place at the defect sites. A pretreatment step is commonly applied to create a (homogeneous) reactive surface (Cavanagh et al., 2009; Devine et al., 2011; Gong et al., 2015; King et al., 2007; Yan, Chen, et al., 2015; Zhan et al., 2008). This can be performed either in aqueous phase using a mixture of chemicals such as NaNO<sub>3</sub>/H<sub>2</sub>SO<sub>4</sub>/KMnO<sub>4</sub> (Yan, Chen, et al., 2015), ethanol and sodium dodecylsulfate (Zhan et al., 2008), and surfactants (King et al., 2007) or in the gas phase using reactive gases (e.g., NO<sub>2</sub> and TMA (Cavanagh et al., 2009) or ozone (Van Bui, Helmer, Goulas, Grillo, & van Ommen, 2015)). ALD on pretreated surfaces has resulted in conformal and smooth metal oxide films (Cavanagh et al., 2009; Devine et al., 2011; Zhan et al., 2008) and uniform and monodispersed nanoparticles (Gong et al., 2015; Yan, Cheng, et al., 2015). An ultrathin nucleation/seeding layer can be beneficial if the inclusion of aluminium on the support can be tolerated (Ban et al., 2013). Oxide-based seeding layers have been commonly implemented in the modification of Al<sub>2</sub>O<sub>3</sub> (e.g. with TiO<sub>2</sub>, ZrO<sub>2</sub> and CeO<sub>2</sub>) (Korhonen, Airaksinen, Bañares, & Krause, 2007; Lobo et al., 2012) and SiO<sub>2</sub> (e.g. with TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub>) (Elam, Zinovev, Pellin, Comstock, & Hersam, 2007; Keränen et al., 2003), greatly improving noble metal nucleation and mitigating leaching problems under demanding process conditions. Additionally, non-porous metal powders (e.g. Fe, Ni, Al, Co, Ti, Zn) (Ferguson, Buechler, Weimer, & George, 2005; Kilbury et al., 2012; King et al., 2009; Longrie et al., 2012; Wank, Buechler, Hakim, George, & Weimer, 2004) of nano- or micron-size have been effectively nanostructured by ALD. Further, nanostructured functional materials can be enhanced by modification via ALD. Typical examples include the modification of supported base or noble metal catalysts (Cronauer et al., 2011; Ma, Brown, Howe, Overbury, & Dai, 2008), battery cathode materials (Beetstra, Lafont, Nijenhuis, Kelder, & van Ommen, 2009) and phosphors (Kim et al., 2006). Examples of ALD nanostructuring on powders for either support modification, metal or oxide support overcoating and metal cluster decoration are shown in Fig. 15.

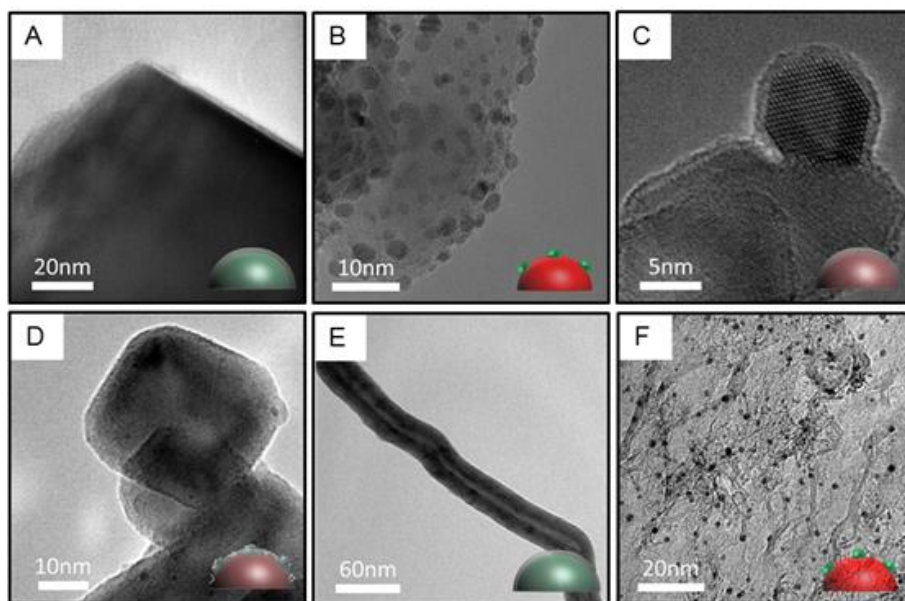


Fig. 15. Examples of ALD nanostructuring on powder supports, showing: (A) an ultrathin  $\text{Al}_2\text{O}_3$  film ( $\sim 1$  nm) on  $\text{LiMn}_2\text{O}_4$  nanoparticles, (B) Pt nanoparticles (2.3 nm) decorating the surface of  $\text{TiO}_2$  nanoparticles (Goulas & van Ommen, 2013), (C) an ultrathin  $\text{Al}_2\text{O}_3$  film ( $\sim 1$  nm) on the surface of  $\text{TiO}_2$  nanoparticles (Valdesueiro et al., 2015), (D) a Pt/ $\text{TiO}_2$  nanocatalyst overcoated with an ultrathin  $\text{Al}_2\text{O}_3$  overcoat ( $\sim 1.9$  nm) (Goulas et al., 2015), (E) a CNT coated with a thin  $\text{Al}_2\text{O}_3$  layer (6.8 nm) (Cavanagh et al., 2009) (© IOP Publishing reproduced with permission. All rights reserved), and (F) Monodispersed Pd nanoparticles ( $\sim 1.5$  nm) decorated graphene nanoplatelets (Van Bui et al., 2016).

## 5.2. Processing conditions

The first reactors used for ALD modification of powder materials were based on modifications of the F-120 flow-type reactor produced by Microchemistry Ltd., the first commercial ALD reactor (Puurunen, 2014). The quartz chamber of the reactor could contain up to  $\sim 10$  g of powder supported on a sintered grid, and the reactions were carried out at 60–100 mbar of  $\text{N}_2$  atmosphere. The vaporized precursor was fed through the powder bed and the reaction by-products and excess precursors were pumped out from the bottom of the bed (Haukka, Lakomaa, & Suntola, 1993). Despite the stationary state of the powder bed, sufficient sample uniformity for saturated surface coverage was obtained at both the top and bottom of the powder bed (Suntola, 1996). The process and reactor used are described in a patent assigned to Fortum Oil and Gas Oy (Finland) (Suntola et al., 2003). A similar vertical flow-type reactor has been reported (Milt, Ulla, & Lombardo, 2001), and a variation of the reactor with the precursor and co-reactant fed in counter-current mode through the powder bed has also been described (Kim et al., 2006).

A viscous flow reactor (Elam, Groner, & George, 2002) equipped with a fixed-bed powder holder that contained  $\sim 1$  g of powder support was reported by Libera, Elam, & Pellin (2008). The top of the powder holder had an open structure that allowed precursor, co-reactant and gaseous by-product diffusion while preventing the disturbance of the flat powder layer. A similar powder holder was recently described by Van

Norman et al. (2015). Typically, viscous flow reactors are less efficient than normal flow-type reactors (Libera et al., 2008). However, for lab-scale material testing, flow-type ALD reactors are a facile option. An example of the coupling of a plug flow ALD reactor with in-situ catalytic testing of the prepared powdered materials was introduced recently by Arradance Inc. (United States) (Camacho-Bunquin et al., 2015).

However, for treating larger batches, agitation of the powder bed is required in order to achieve uniform deposition characteristics and high precursor utilization (Longrie, Deduytsche, & Detavernier, 2014a). A fluidized-bed reactor can be used to realize proper gas-solid contact, ensuring sufficient precursor transport and uniform heat transfer in large batches of powders (King, Liang, & Weimer, 2012; van Ommen, Yurteri, Ellis, & Kelder, 2010). Efficient mixing in a fluidized-bed reactor enables the possibility of homogeneous sample preparation even for sub-saturation (non-ideal) ALD conditions, as demonstrated in the early 1990s (Suvanto & Pakkanen, 1998). Although the non-assisted fluidization and coating of well-flowing powders has been reported (Moghtaderi et al., 2006; Soria-Hoyo et al., 2015), several assistance techniques (see also chapter 4) can be used to improve the coating quality and fluidization of cohesive (nano)powders (King et al., 2007). Although most of the reported processes are operated under vacuum conditions (Gould et al., 2015; Lubers, Muhich, Anderson, & Weimer, 2015; Patel et al., 2015), large-scale processing under atmospheric pressure conditions has also been demonstrated (Beetstra et al., 2009; Goulas & van Ommen, 2013; Soria-Hoyo et al., 2015; Valdesueiro et al., 2015). Semi-fluidized-bed powder cell implementations have also been reported (Rauwel et al., 2012; Tiznado et al., 2014).

Another reactor concept that can facilitate powder agitation is the rotary reactor. This reactor uses a porous inner tube that contains the powder and can accommodate static reactant exposure, increasing the efficiency of precursor utilization because the carrier gas is not used – primarily – to agitate the particles (McCormick, Cloutier, Weimer, & George, 2007a). A similar reactor, operated under high-vacuum conditions (7–10 mbar) and capable of performing plasma-enhanced ALD (PEALD) on powders, has also been described (Longrie et al., 2012).

Rotary reactors are most commonly operated in a horizontal or inclined configuration, contrary to most flow-type, viscous flow and fluidized-bed reactors, which are arranged in a vertical alignment. Two configurations that deviate from this trend are the inclined fluidized-bed reactor (Moghtaderi et al., 2006) and the (horizontal) vibration reactor that implements a porous cylinder as a powder holder (Park, Kim, Choi, & Shim, 2014). Recently, a fluidized bed coupled with a rotary particle cartridge has been proposed to combine the strengths of the fluidized-bed reactor and rotary reactor technologies (Duan et al., 2015). Finally, a reactor based on the pneumatic conveying of powders that can be used for continuous ALD modification of powders was recently introduced (van Ommen, 2013; van Ommen, Kooijman, Niet, Talebi, & Goulas, 2015). Its main difference from all the previously mentioned reactors is that instead of separating the precursor and co-reactant dosage in the temporal domain (by introducing intermediate

purging/evacuating pulses), the separation of the injection of the chemical reactants is achieved in a spatial way, using injection ports that are located along the coiled reactor tube. In this manner, a production rate on the order of 1 g/min has been achieved for nanopowder processing on the lab-scale. A summary of the important processing characteristics of the main reactor types discussed above is shown in Table 3.

Table 3 Main powder ALD reactor types classified by their most important processing-related characteristics

Reactor	Processing ability	Gas-solid contact	Agglomeration prevention	Energy provision	Vacuum quality
Flow-type	medium	flow through	none (static bed)	thermal	medium
Viscous flow	low	flow over	none (static bed)	thermal	medium
Fluidized bed	high	mixed flow	mechanical & pneumatic vibration, stirring, microjet, pulsed flow	thermal	medium–atmospheric
Rotary	medium	mixed flow	rotational agitation	thermal & plasma	high–medium
Pneumatic conveying	high	local mix (jet)	none	thermal	atmospheric

### 5.3. Agglomeration during ALD processing of solids

Because ALD is not a line-of-sight limited technique, the range of powder supports it can handle spans from nano-sized to micron-sized porous particles. Especially for nanopowders, processing is done on the agglomerate level, while coating is achieved on the primary particle level. This unique characteristic is unparalleled by other techniques (e.g. CVD) and shows the real strength of ALD for solids processing. Complementary to the role of interparticle forces, surface modification by ALD can lead to interconnection of nanoparticles. Although (soft) agglomerates and (hard) aggregates are two terms often used to describe particle interconnection, the nature of the interconnecting forces' weak physical interactions for agglomeration and strong chemical interactions or sintering for aggregation should be clearly defined (Teleki, Wengeler, Wengeler, Nirschl, & Pratsinis, 2008) (also see chapter 4). Moreover, when we refer to particle agglomeration, we do not refer to the process that takes place during the growth of ALD-deposited material/active species but to the interconnection of primary support nanoparticles.

Initially applied for the coating of individual micron-sized non-porous Ni particles in a fluidized-bed reactor by Wank, George, & Weimer (2004a), ALD processing did not result in a statistically important change in the particle size distribution (PSD) of the support for a deposited layer of ~11.1 nm Al<sub>2</sub>O<sub>3</sub> after 100 cycles. Two vibromotors attached to the reactor platform were used to provide external energy for the fluidization of the cohesive powders. Stirring has also been implemented as a means of particle agitation in fluidized-bed ALD reactors (King et al., 2008a). A different reactor module that uses vibration for particle agitation was recently proposed by Park et al. (2014). Increasing the vibration frequency allowed favourable transport of the reactants to the coated graphitic powder, resulting in a higher elemental content of the deposited material and an increased average particle size.

Measuring PSDs by laser diffractometry has some limits when it comes to agglomeration monitoring during ALD. In fluidized-bed reactors, the observed distributions can shift to larger particle sizes as a function of the ALD processing time owing to the elutriation of fines (Wank, George, & Weimer, 2004b). Especially for nanoparticles, only a certain agglomerate size, usually >100 nm, is taken into account, leaving out information on the primary particle level. In such cases, surface area measurements can be used to probe the degree of particle interconnection more accurately. Additionally, these measurements are often complemented by TEM or SEM characterization. The conformal Al<sub>2</sub>O<sub>3</sub> coating of SiO<sub>2</sub> and ZrO<sub>2</sub> nanoparticles (<100 nm) with no particle aggregation, owing to dynamic agglomeration (the constant breaking and formation of agglomerates) during ALD, was first reported in the work of Hakim, Portman, Casper, and Weimer (2004).

Using a rotary reactor to agitate the particles, McCormick, Rice, Paul, Weimer, and George (2007b) achieved uniform Al<sub>2</sub>O<sub>3</sub> coating on individual ZrO<sub>2</sub> nanoparticles while observing only a minor surface area loss. Variation of the rotational speed of the porous cylindrical drum of the reactor (100–200 rpm) was claimed not to affect the surface area of the coated powders (McCormick et al., 2007b). However, in another case (coating of MWCNTs) it was shown that operation within a well-defined regime of rotational frequencies (140–180 rpm) is essential for minimizing agglomeration (Cavanagh et al., 2009). Higher rotational frequencies will cause agglomeration because of the immobilization of the support on the walls of the porous reactor drum. At lower rotational frequencies, however, the powder will experience less agitation and thus will not be efficiently penetrated by the precursor gas molecules. A rotating reactor also capable of performing plasma-enhanced ALD has been successfully used to grow conformal ALD films of TiN on sub-micron ZnO powders without any reported agglomeration problems (Longie et al., 2014b).

Inefficient mixing and operating near the decomposition temperature of ALD precursors (resulting in a parasitic CVD growth component) can be two major causes of particle aggregation. Certain supports can limit the reactor operating temperature owing to their heat-sensitive characteristics. As the reactor temperature is decreased, the removal of sticky precursors (including residual water) becomes extremely difficult. As shown by King, Liang, Li, and Weimer (2008b), ALD processes using H<sub>2</sub>O-based co-reactants at temperatures lower than 125 °C will face liquid-bridging problems that will cause particle agglomeration (see chapter 4). The strong interparticle forces that develop will be responsible for a localized CVD effect of the remaining reactants in subsequent cycles, forming solid connector/bridges that lead to aggregate formation. This has also been shown in other studies on ALD processes carried out at low temperatures (Didden, Middelkoop, Besling, Nanu, & van de Krol, 2014), but also high temperatures (Wiedmann et al., 2012). The described behavior is not expected for larger micron-size particles, for which ALD can be implemented at low temperatures without the expectation of agglomeration problems (Liang et al., 2009b).

A similar behavior is expected in hybrid ALD/MLD schemes, which are typically operated at low temperatures. As shown by Liang et al. (2009a), although a sticky precursor (ethylene glycol) was used at a low deposition temperature, only a small degree of permanent aggregation was observed during the coating



of TiO<sub>2</sub> and SiO<sub>2</sub> sub-micron particles with alucone films. Diluting the precursor stream using N<sub>2</sub> bubbling is a straightforward way of limiting powder aggregation. The agglomeration behavior is sometimes even harder to monitor in these systems, because the hybrid ALD/MLD films obtained can have porous structures. One such example has been reported by Liang, Jiang, et al. (2012); during deposition of a zincone film, a decrease in the support surface area was observed during the first 20 cycles (corresponding to a film of ~1 nm thickness). However, above 20 cycles no further reduction in surface area was observed.

Coating micron-sized protein particles with a polyester film by an MLD scheme resulted in serious agglomeration (Vasudevan et al., 2015) that compromised the fluidization of the powder. Implementing vibration and a microjet, and using long intermediate purging pulses with high flow-rates of N<sub>2</sub>, helped re-establish fluidization in the system. Finally, agglomeration has also been reported for ALD in a viscous-flow reactor (Qin et al., 2013). Studying the growth of two oxide coatings (ZnO and SnO<sub>2</sub>) on Al nanopowder, Qin et al. came to the conclusion that the thickness of the coating relative to the primary particle size and film growth rate can also influence the agglomeration behavior.

ALD can alter the surface properties (e.g. surface termination/functionality, roughness, repulsion characteristics, flowability, etc.) of nanopowders, drastically changing the agglomeration behavior of the primary particles. In one example, the average agglomerate size of ZrO<sub>2</sub> nanoparticles was found to greatly decrease from ~25 µm to ~4 µm after the deposition of a SnO<sub>2</sub> coating (Ferguson et al., 2005). A similar trend was observed for TiO<sub>2</sub> nanoparticles coated with SiO<sub>2</sub> (Kim, Kang, Kim, & Choi, 2011) in a fixed bed (flow-type) reactor that facilitated counter-current injection of the precursors. For graphite particles, an even more pronounced effect was observed; the bimodal agglomerate size distribution of uncoated particles (with an average size of 47 µm) became unimodal after the ALD deposition of Al<sub>2</sub>O<sub>3</sub>, following a shift to 38 and 39 µm for 90 and 185 cycles, respectively (Lichty et al., 2013). In contrast, small ceramic oxide nanoparticles showed increased interactions (increase in aggregate size from 39 to 70 µm) after the deposition of a thin Al<sub>2</sub>O<sub>3</sub> overcoat (Hakim, Blackson, & Weimer, 2007).

## 6. Concluding remarks

In recent years, there has been an increasing demand for materials and devices containing nanostructured solids, either nanoparticles (0D), nanotubes (1D) or nanoplatelets (2D). Such nanostructured solids can provide unique properties even at low contents. Furnace processes are typically used for the large-scale production of inorganic nanoparticles; carbon black and titania have already been produced in this way for decades. Currently, flame spray pyrolysis is being investigated as a related alternative that gives more control over particle size and provides the opportunity to produce multimaterial particles. Spray drying may provide a way to manufacture organic nanostructured particles. Of the 1D materials, only carbon nanotubes are already produced on a large scale. Of the many alternative synthesis approaches being researched, chemical vapour deposition in a fluidized bed is the most attractive way to make large amounts of this material. Many alternative approaches for graphene (2D material) synthesis are also being

investigated; its large-scale production is still in the early stages. Besides the synthesis of nanostructured solids, their processing also typically requires transport and mixing. Some first steps have already been taken in acquiring more insight into these processes for dry nanostructured solids, mostly aimed at fluidizing nanopowders, but there is still a long way to go in understanding and controlling them. To give the produced materials additional functionalities, it is often necessary to add nanostructures (film, nanoclusters, etc.) of a different material. Atomic layer deposition is a versatile and scalable method to achieve this. While most of the industrial production of nanomaterials is carried out in the gas phase, the majority of academic researchers focus on the liquid-phase synthesis of structures such as nanocrystals. In our view, increased attention to gas-phase routes is needed, and the scalability of such approaches should be considered from the very first stages.

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